



UNIVERSITÀ DEGLI STUDI DI MILANO
DIPARTIMENTO DI FISICA

Spectroscopy of adsorbates and the role of interfacial interactions

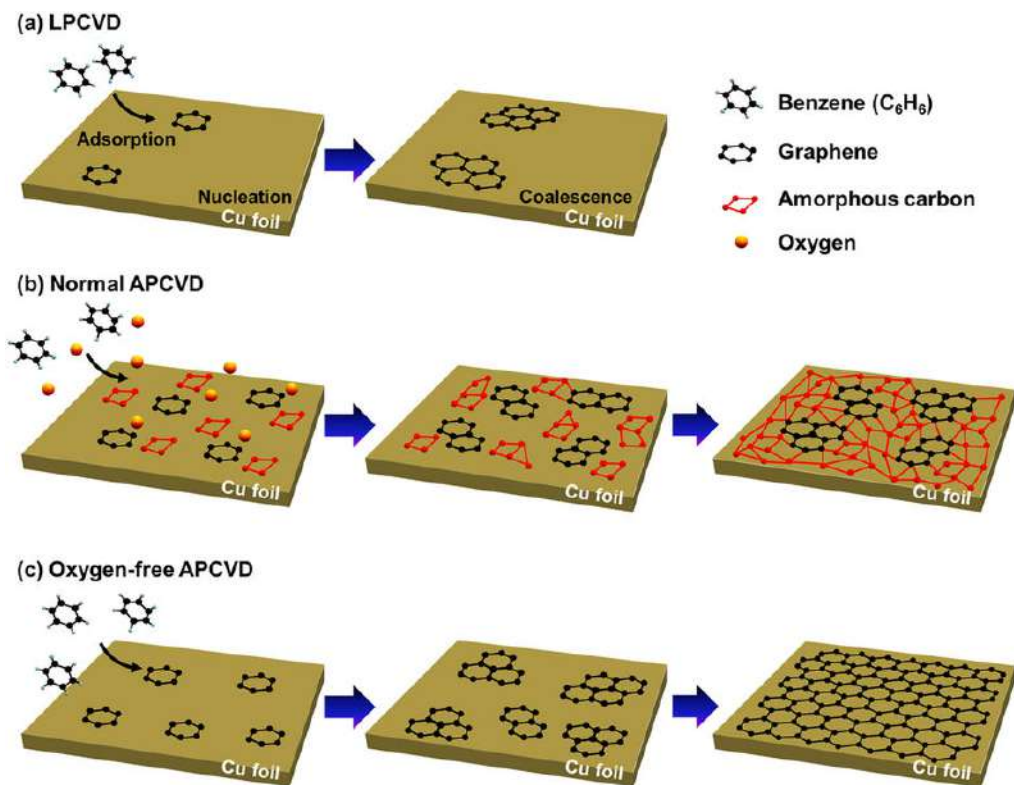
Guido Fratesi

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Substrate/adsorbate interfaces

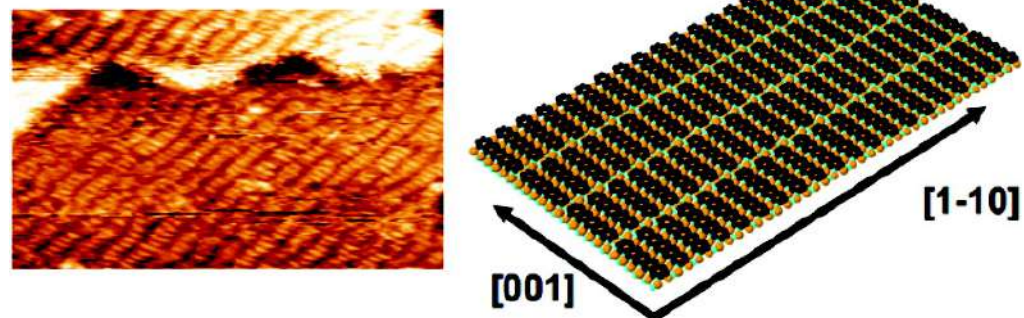
Graphene growth on Copper

J. Jang et al., Scientific Reports 5, 17955 (2015)



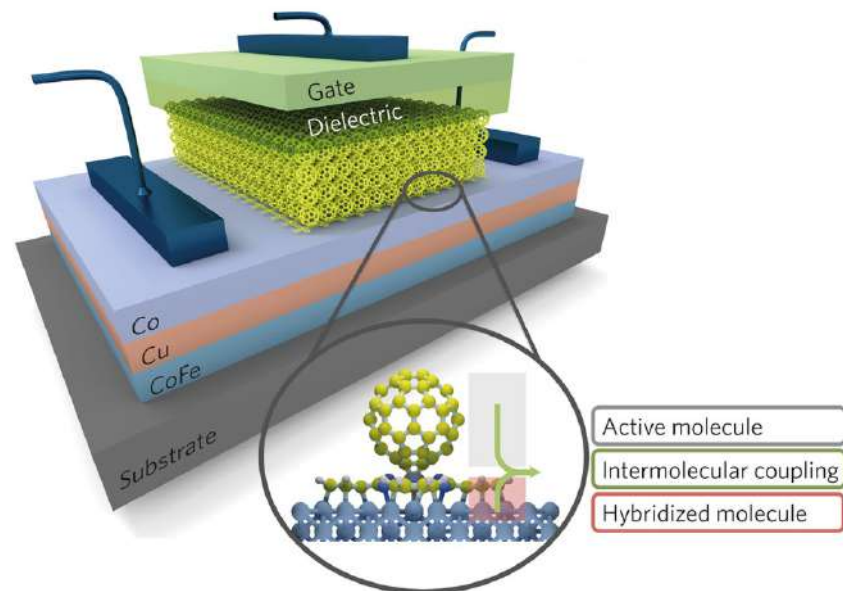
Pentacene molecules on titania

V. Lanzilotto et al., JPCC 115, 4664 (2011)



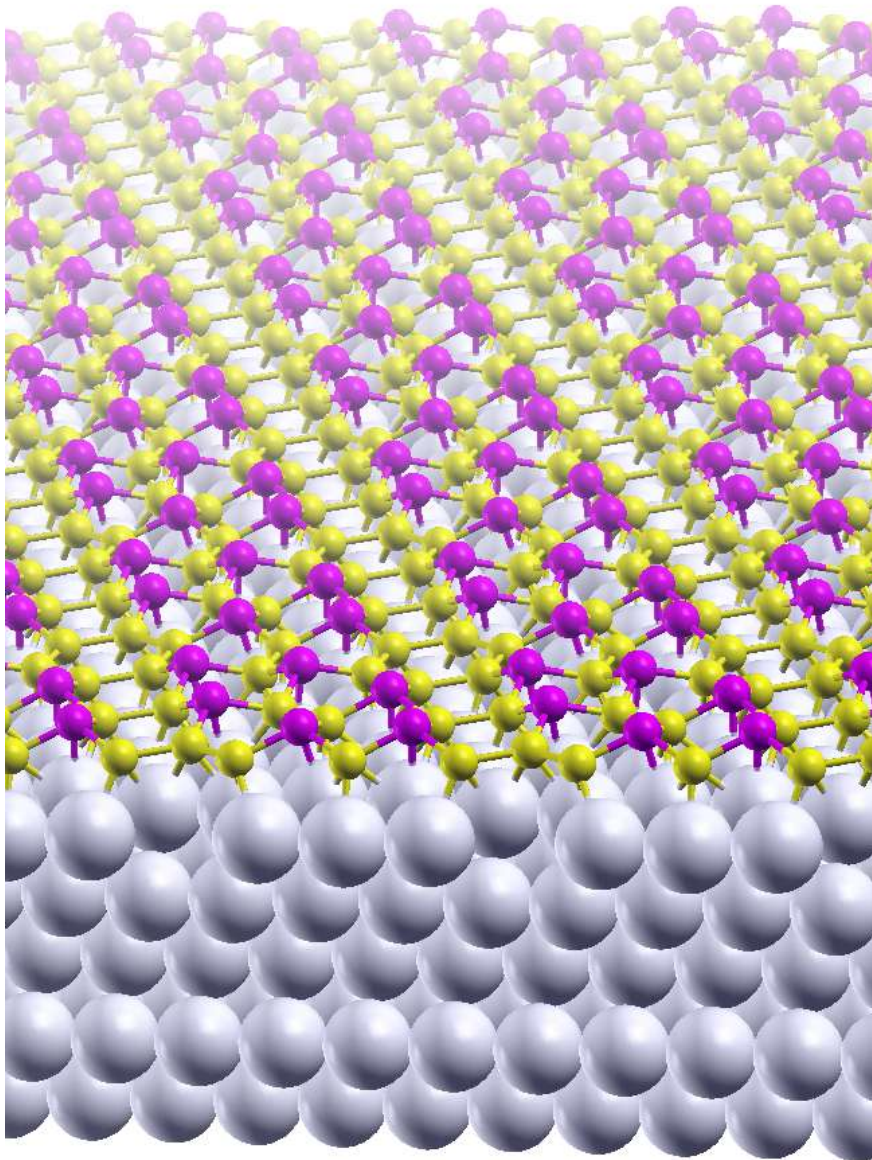
Molecular spintronic devices

M. Cinchetti et al., Nature Materials 16, 507 (2017)

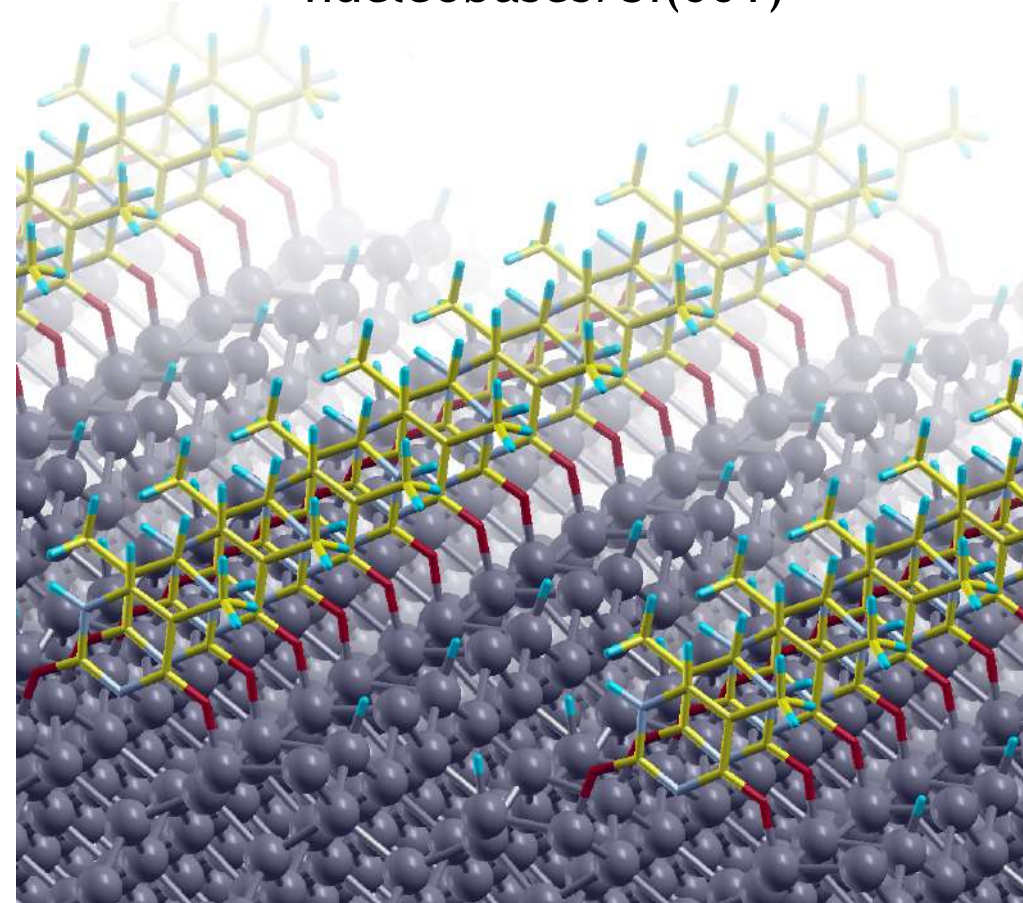


Outline

2D silicon/Ag(111)



Uracil-like
nucleobases/Si(001)



The many electron problem and spectroscopy

- Density Functional Theory

$$\Psi(\mathbf{r}_1, \dots, \mathbf{r}_N) \rightarrow \rho(\mathbf{r})$$

- ✓ No adjustable parameters
- ✓ Systems with thousands of electrons
- ✗ Needed approximations (e.g., quasi-local dependence)
- ✗ In principle, a ground state theory

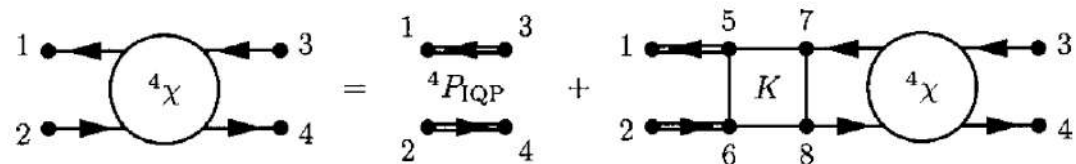
So what about spectroscopy?

- Zeroth-order expansion in the e-e interaction

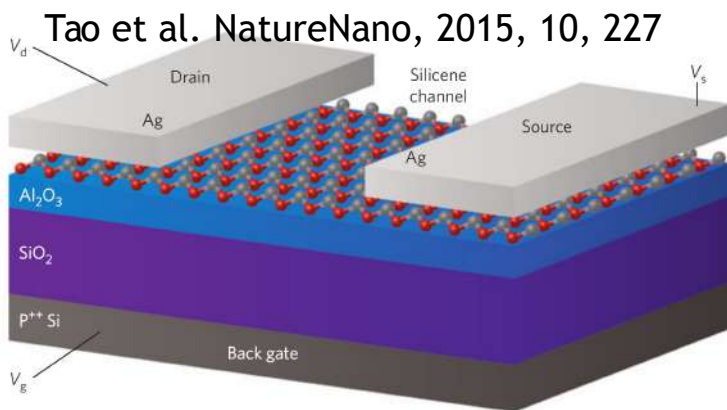
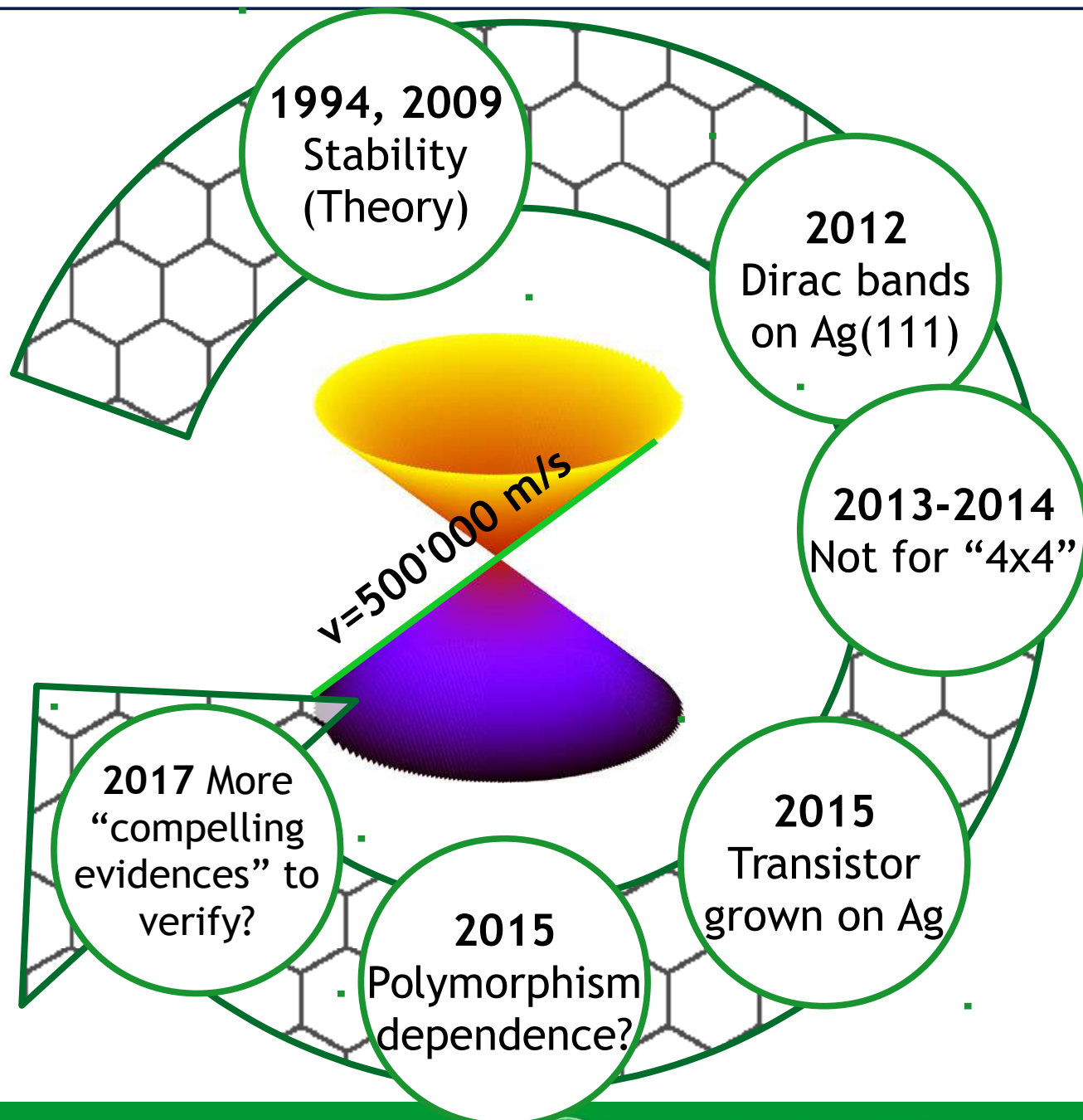
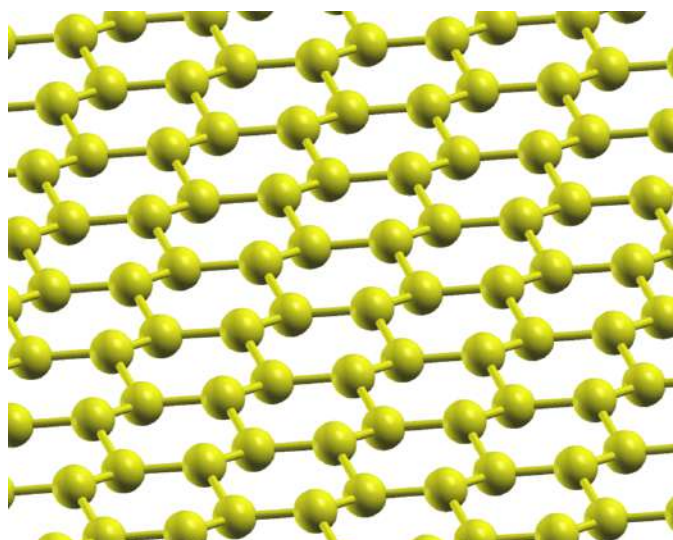
- 1-e excitations:



- 2-e excitations:



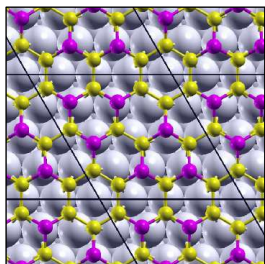
“Silicene” = 2D honeycomb silicon



Silicene polymorphism

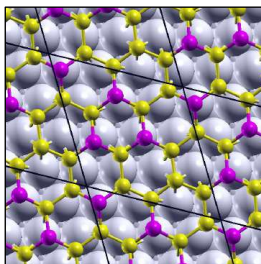
- Preparation conditions → different phases of silicene/Ag(111)
- Free standing layers: *Dirac character* .OR. *band gap opening*

4x4



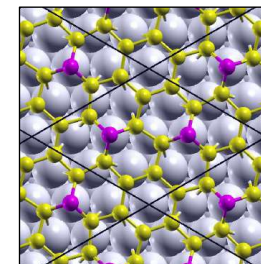
Unsupported silicene as on Ag(111) 4x4

$\sqrt{13} \times \sqrt{13}$

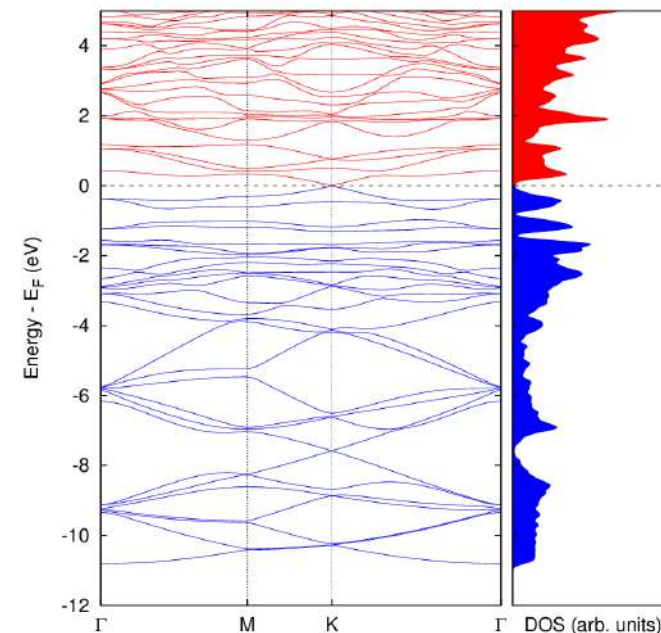
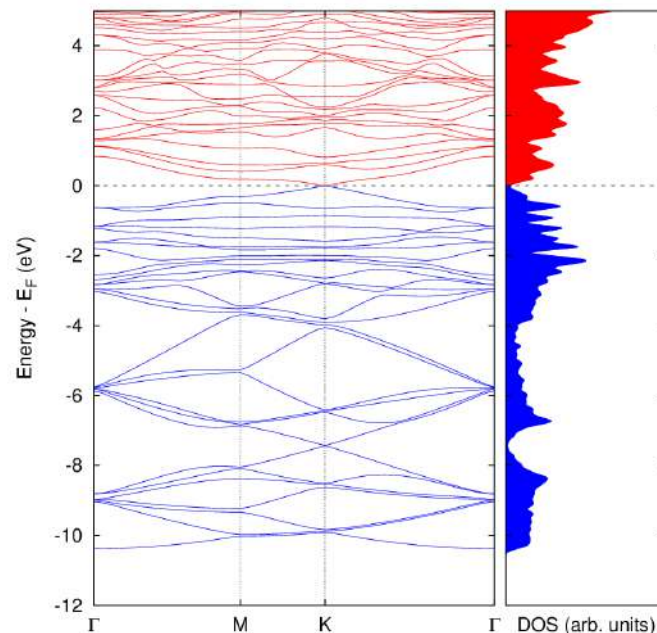
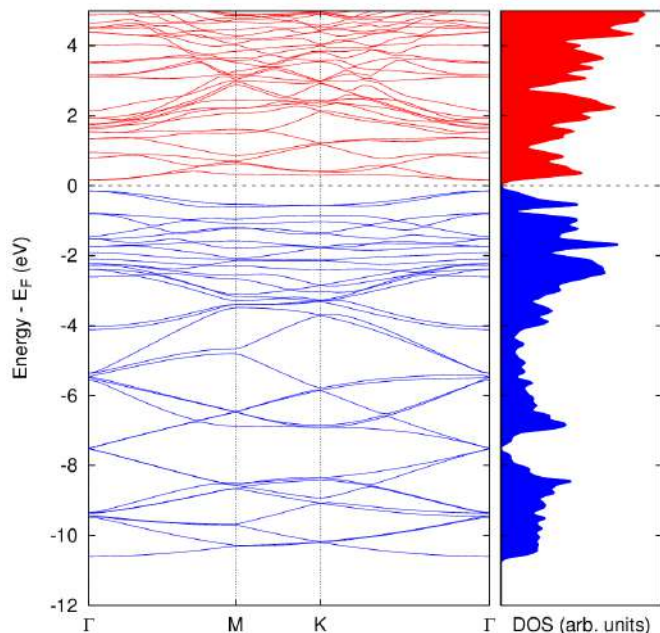


Unsupported silicene as on Ag(111) $\sqrt{13} \times \sqrt{13}$

$2\sqrt{3} \times 2\sqrt{3}$



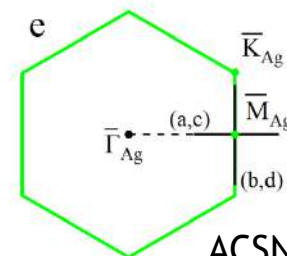
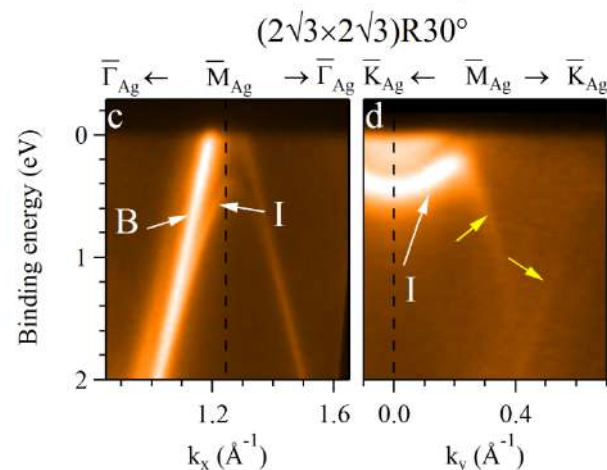
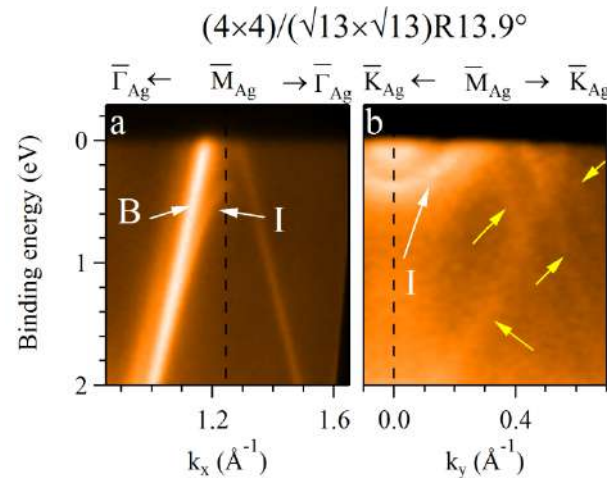
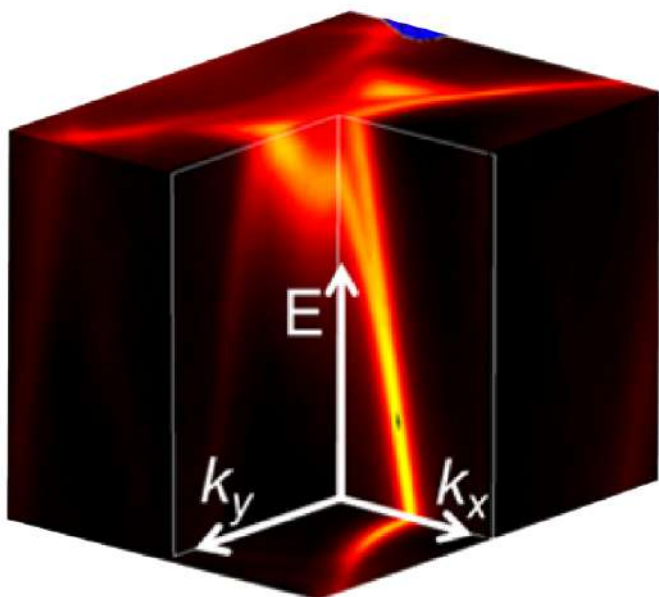
Unsupported silicene as on Ag(111) $2\sqrt{3} \times 2\sqrt{3}$



Angle-resolved photoemission (π bands?)

- Different phases, similar states:

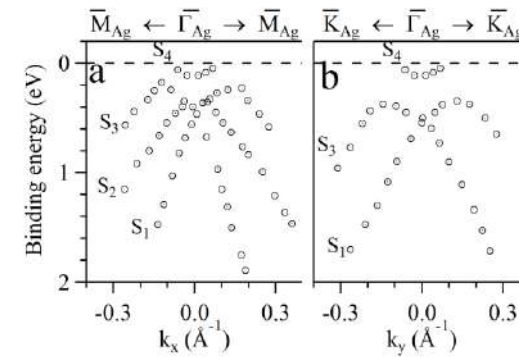
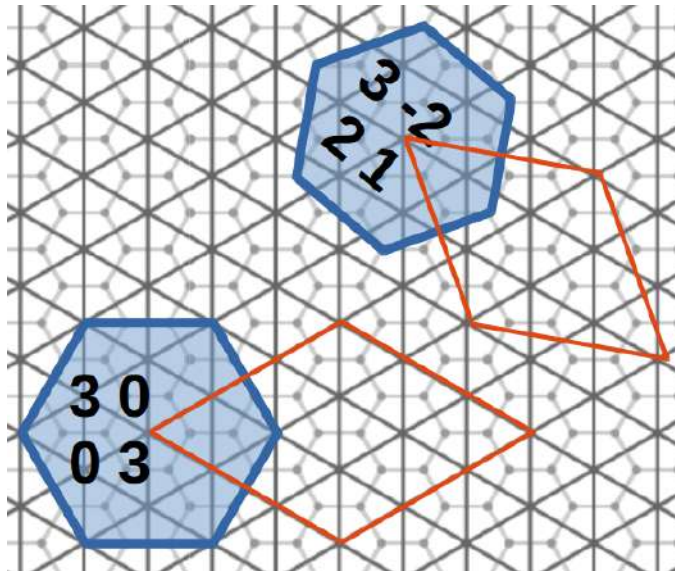
- Bulk and replicas
- Interface (mostly Ag-sp)
- Misunderstood as Dirac cone, it is a *saddle*



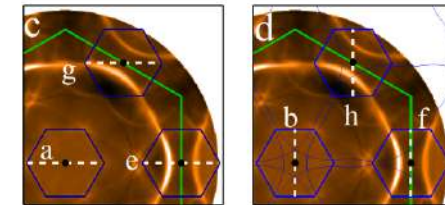
ACSNano 11, 975 (2017)

Angle-resolved photoemission (σ bands?)

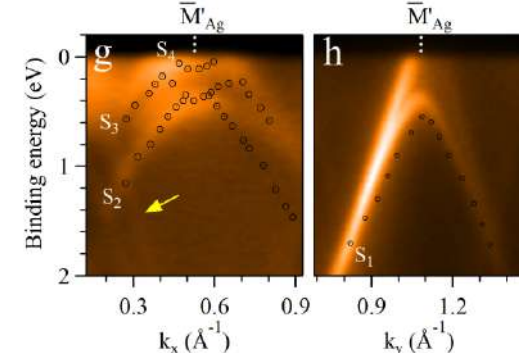
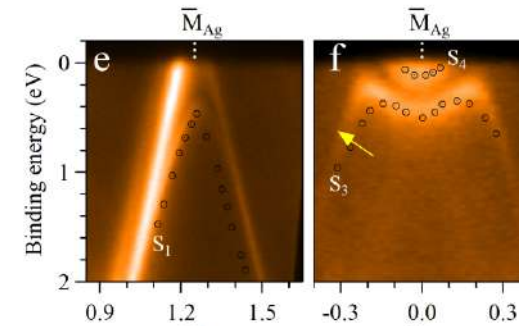
- Different phases, similar states:
 - Bulk and replicas
 - Interface (mostly Ag-sp)
 - Differences originate from the relative orientation of reciprocal space lattices



Data from Wang et al., PRB 92, 205427



ACSNano 11, 975 (2017)

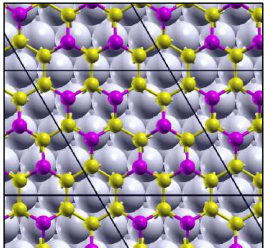


Silicene polymorphism

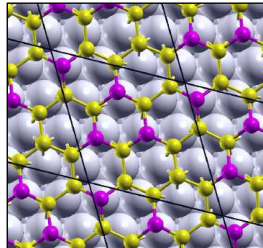
- Preparation conditions → different phases of silicene/Ag(111)
- Supported layers: very similar electronic structure (hybridization!)

ACSNano 11, 975 (2017)

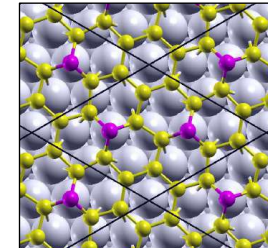
4x4



$\sqrt{13} \times \sqrt{13}$



$2\sqrt{3} \times 2\sqrt{3}$

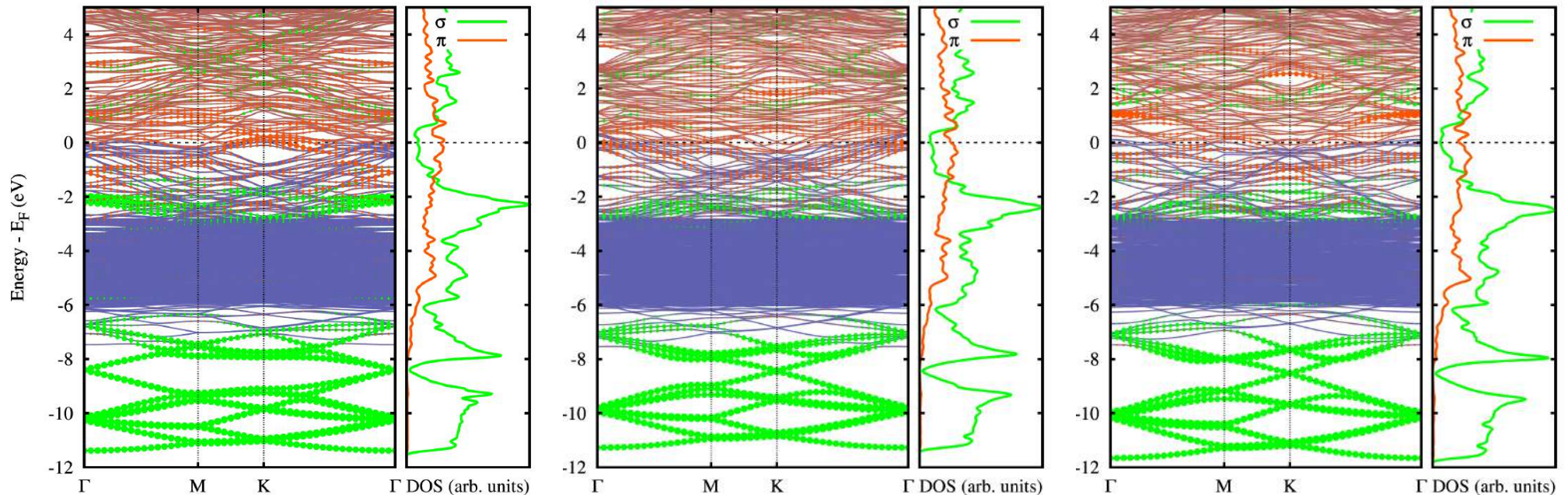


b

Silicene on Ag(111) (4x4)

Silicene on Ag(111) ($\sqrt{13} \times \sqrt{13}$)R13.9°

Silicene on Ag(111) ($2\sqrt{3} \times 2\sqrt{3}$)R30.0°

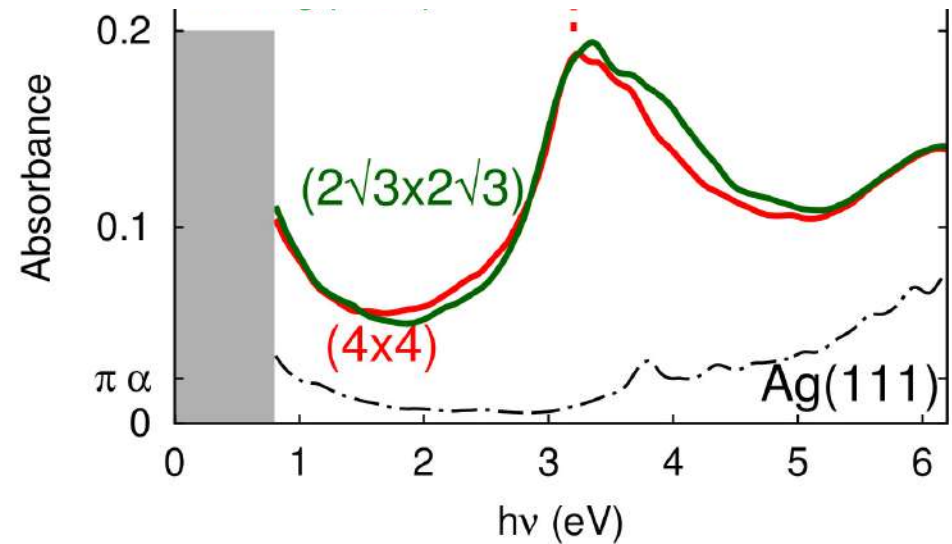
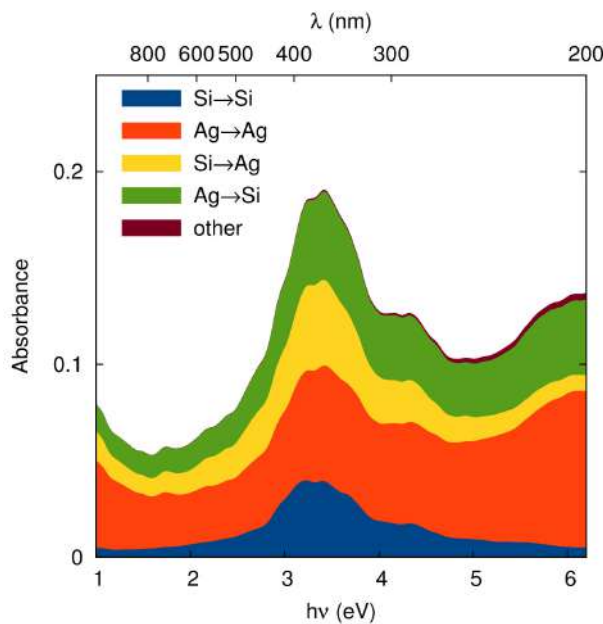


Optical spectra and charge carriers

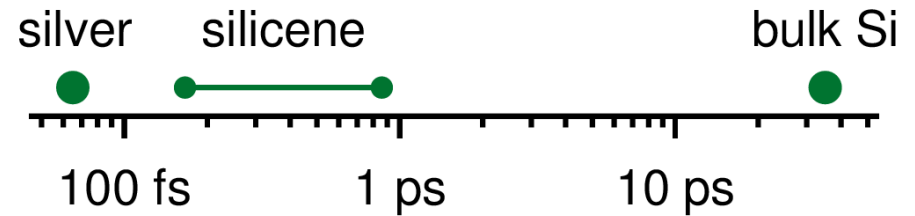
PRB 92, 165427 (2015)

- Silicene features almost independent of the phase

- Light absorption due to hybrid states *mostly located on Ag*

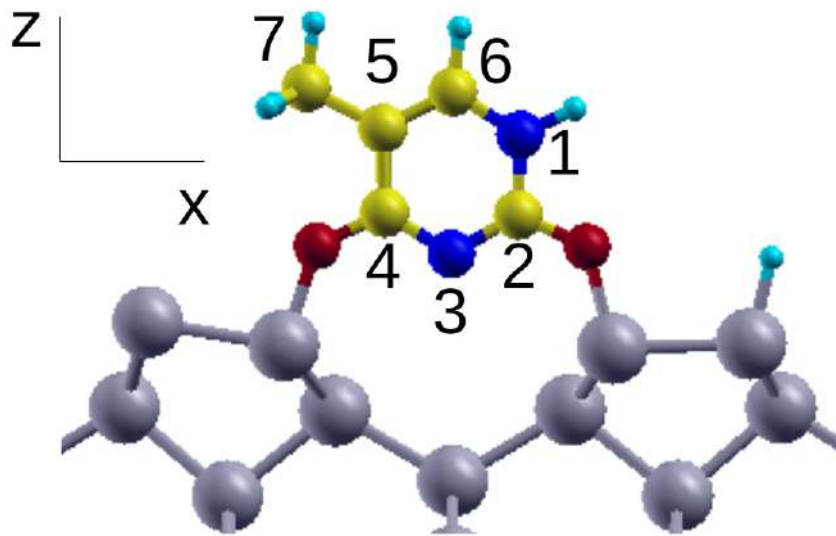


Relaxation times (by pump&probe):



- Metallic character of photogenerated charge carriers

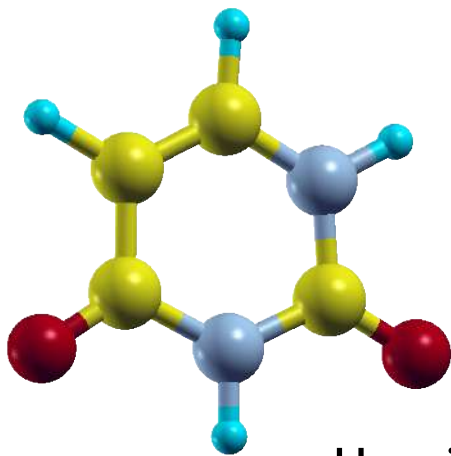
Uracil-like nucleobases on Si(001)



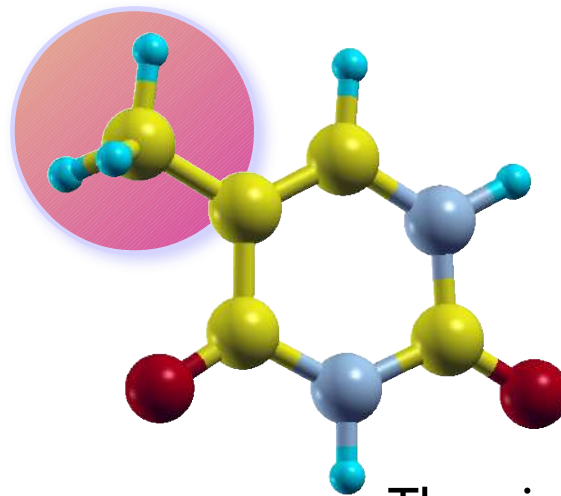
- Non-destructive tools
- Reflection anisotropy spectroscopy (RAS):

$$\frac{\Delta R}{R}(\omega) = \frac{R_x - R_y}{(R_x + R_y)/2}(\omega)$$

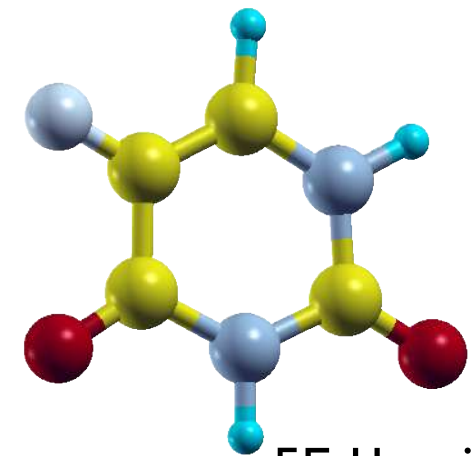
- Fingerprinting chemical substitutions for molecular recognition?



Uracil



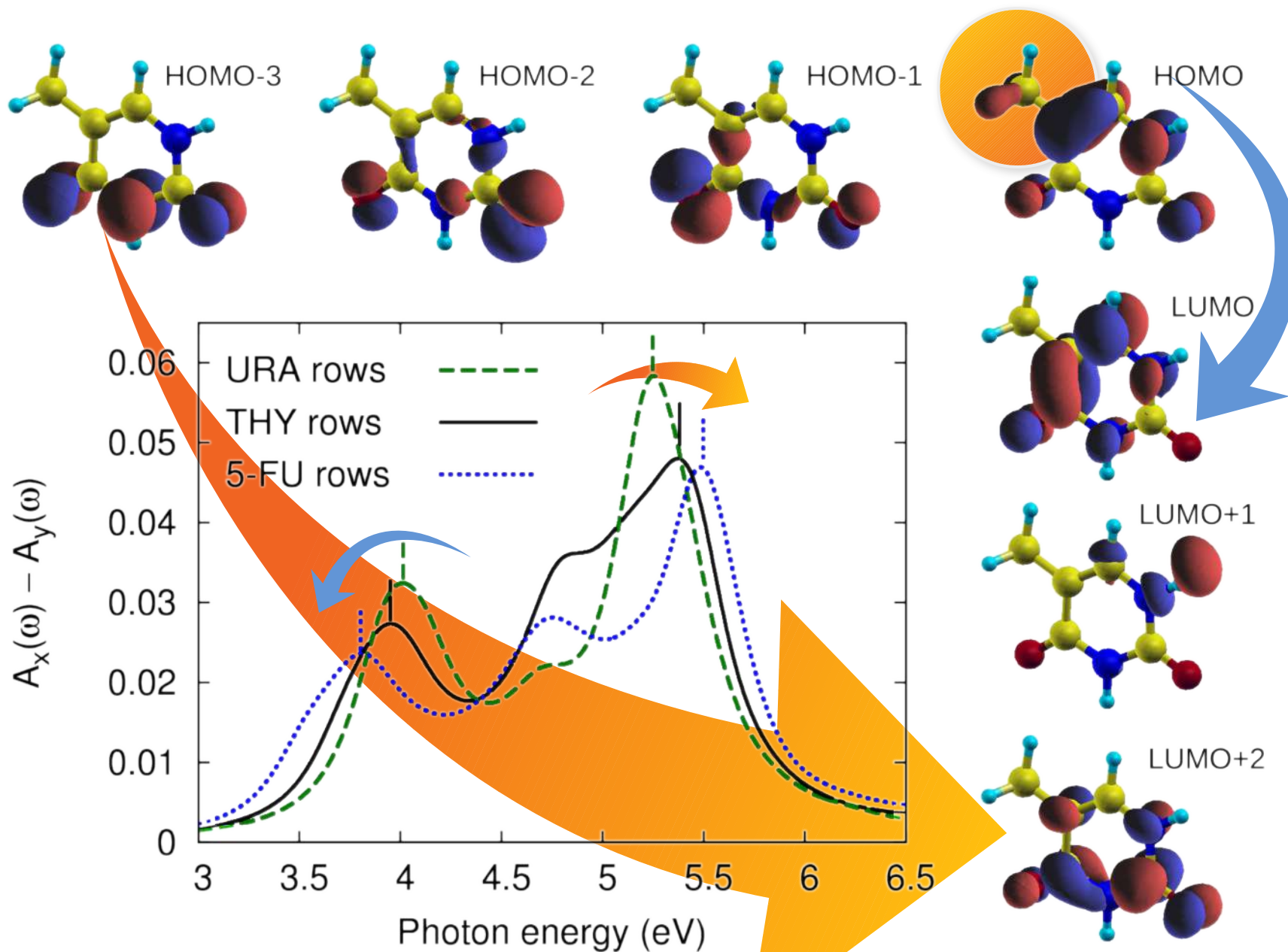
Thymine



5F-Uracil

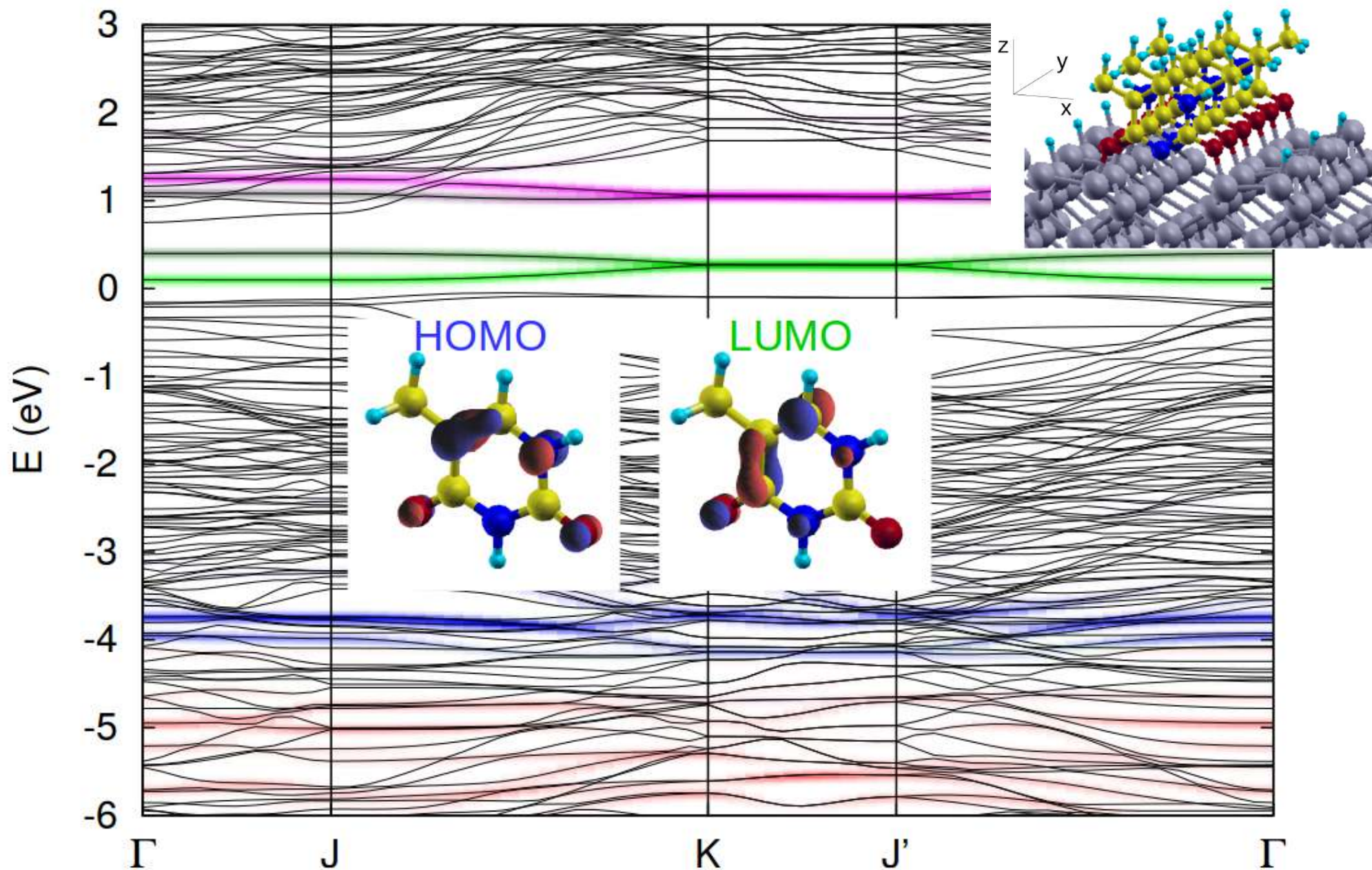
Expected chemical sensitivity

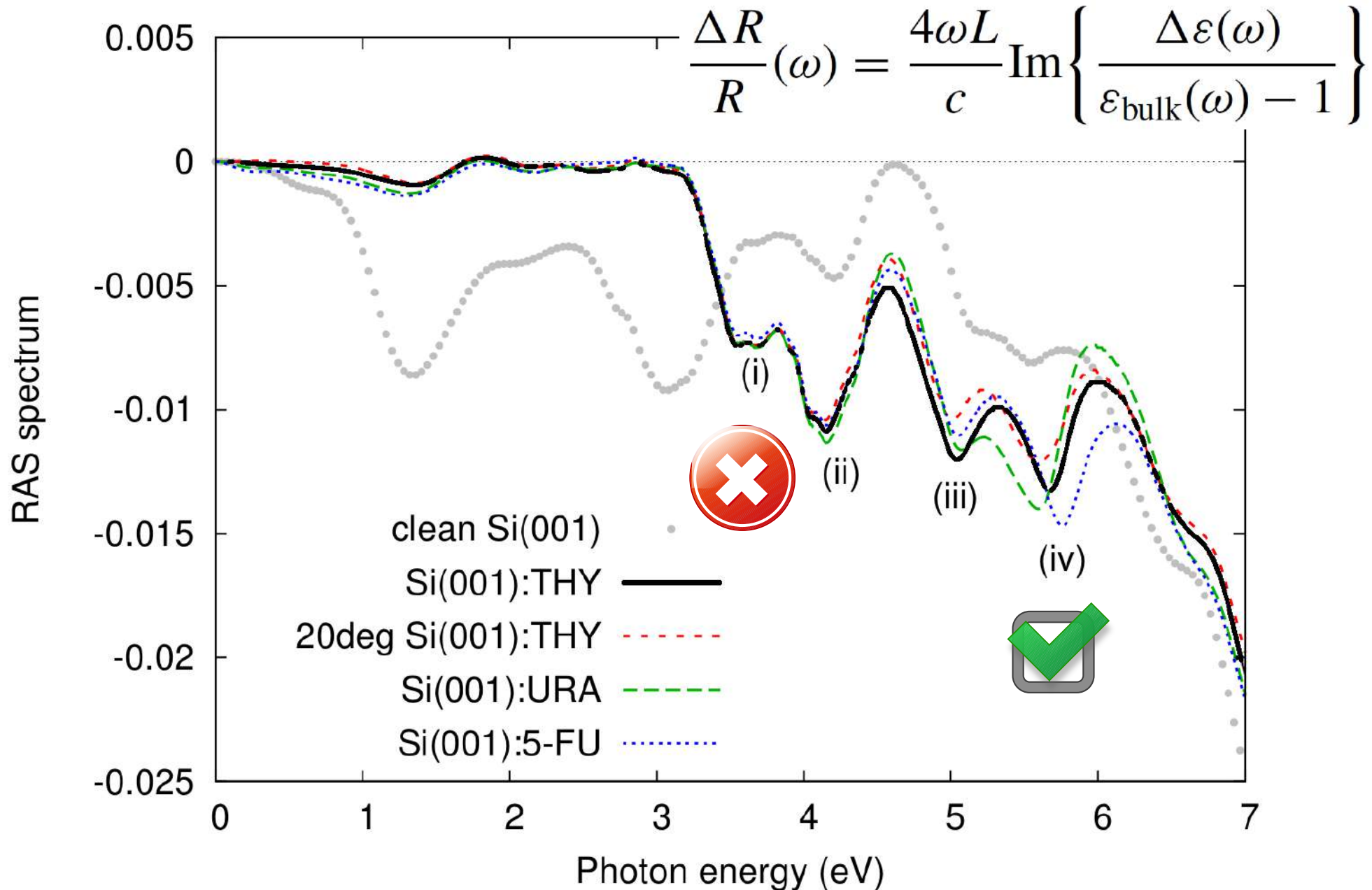
PRB 95,
075437 (2017)



Thymine/Si(001) band structure

PRB 95,
075437 (2017)





- Simpler absorption spectrum:

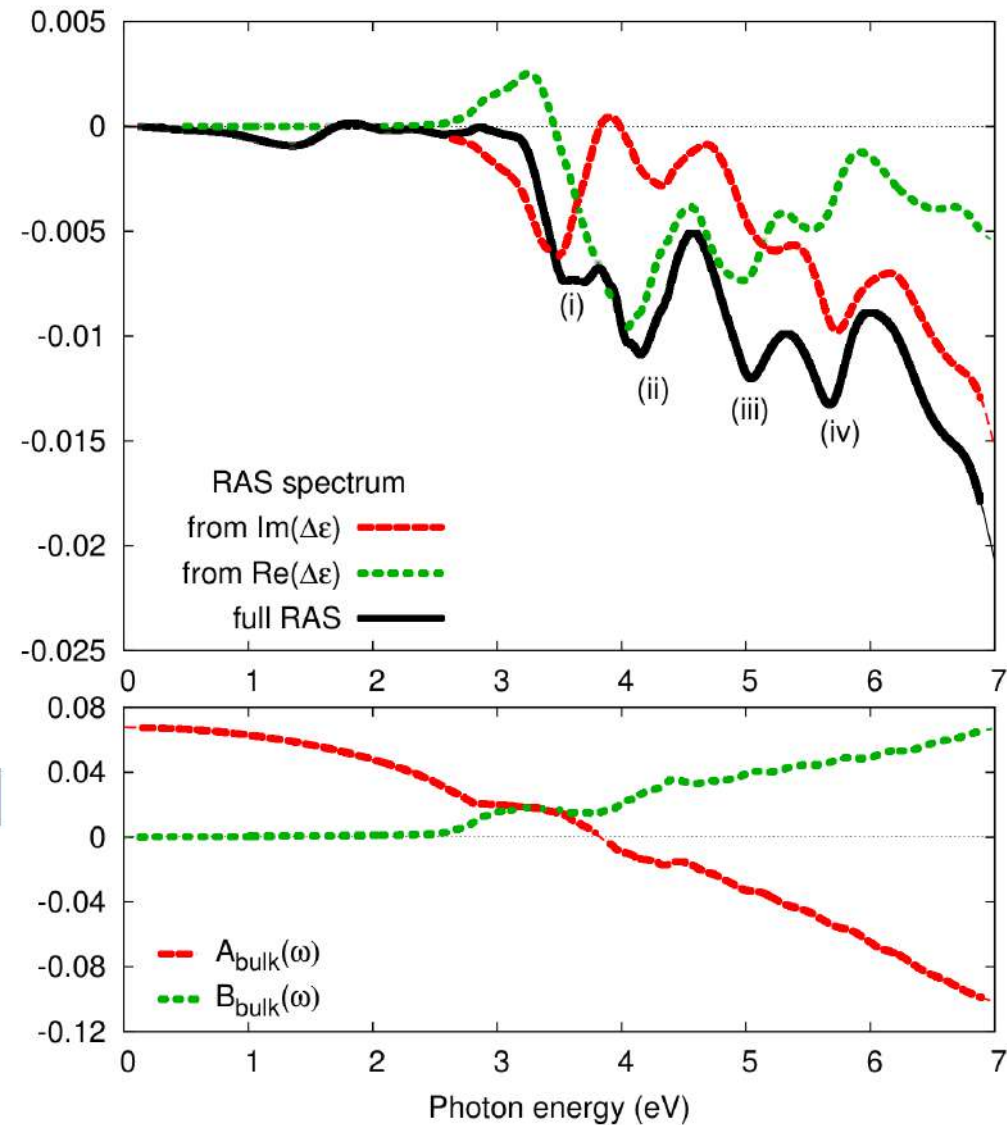
$$A_j(\omega) = \frac{\omega L}{c} \text{Im}[\varepsilon_{jj}(\omega)]$$

- From the RAS:

$$\frac{\Delta R}{R}(\omega) = \frac{4\omega L}{c} \text{Im} \left\{ \frac{\Delta\varepsilon(\omega)}{\varepsilon_{\text{bulk}}(\omega) - 1} \right\}$$

$$= \frac{4\omega L}{c} [A_{\text{bulk}} \Delta\varepsilon'' - B_{\text{bulk}} \Delta\varepsilon']$$

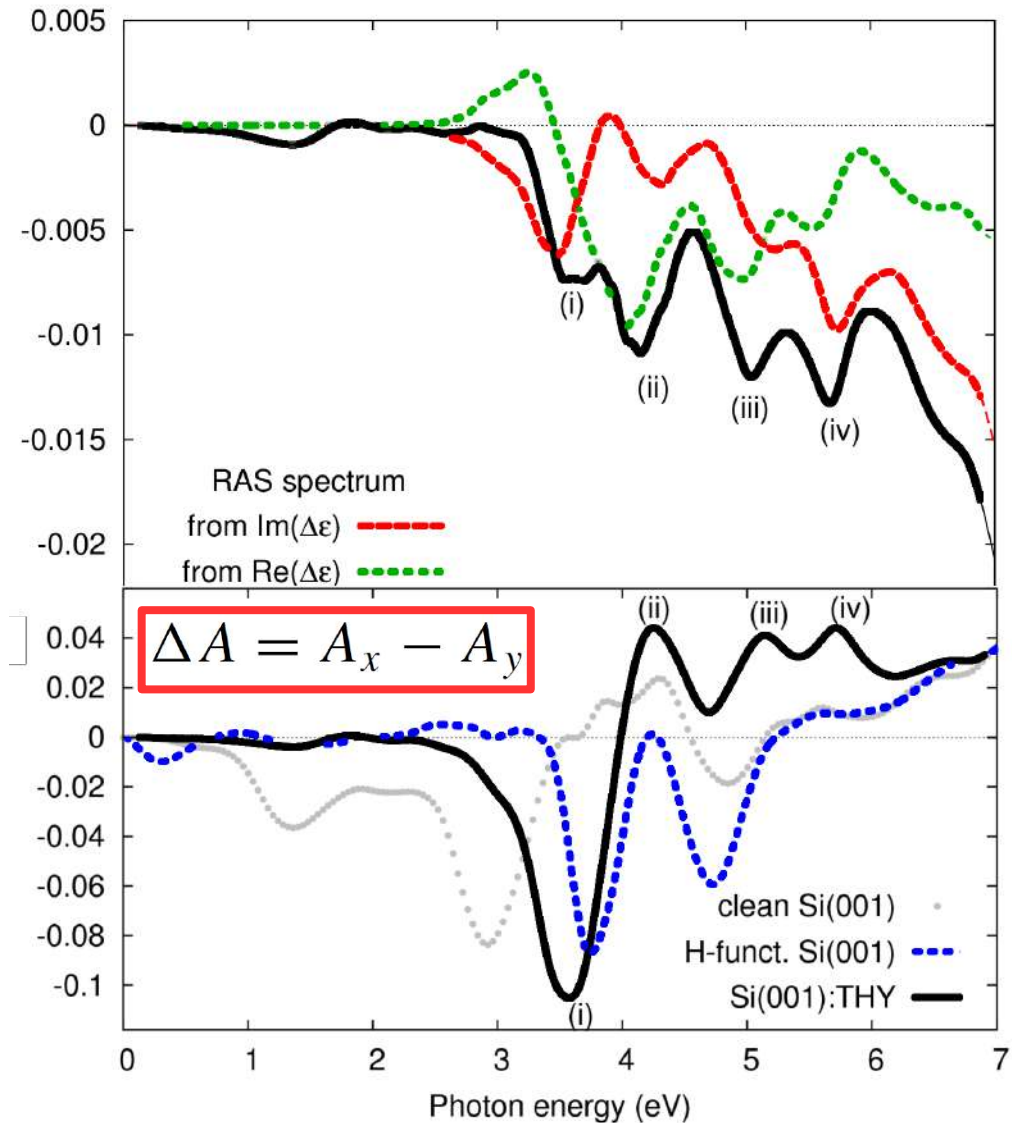
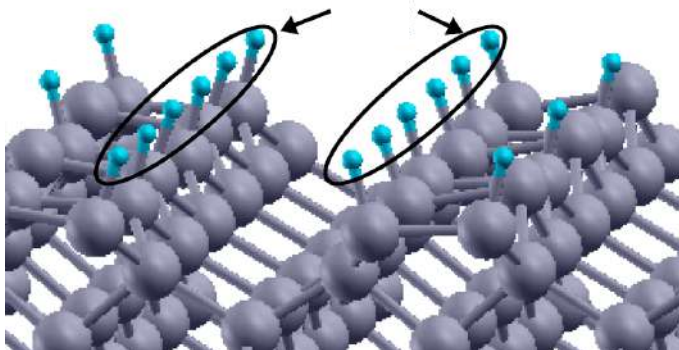
$$\Delta A = A_x - A_y$$

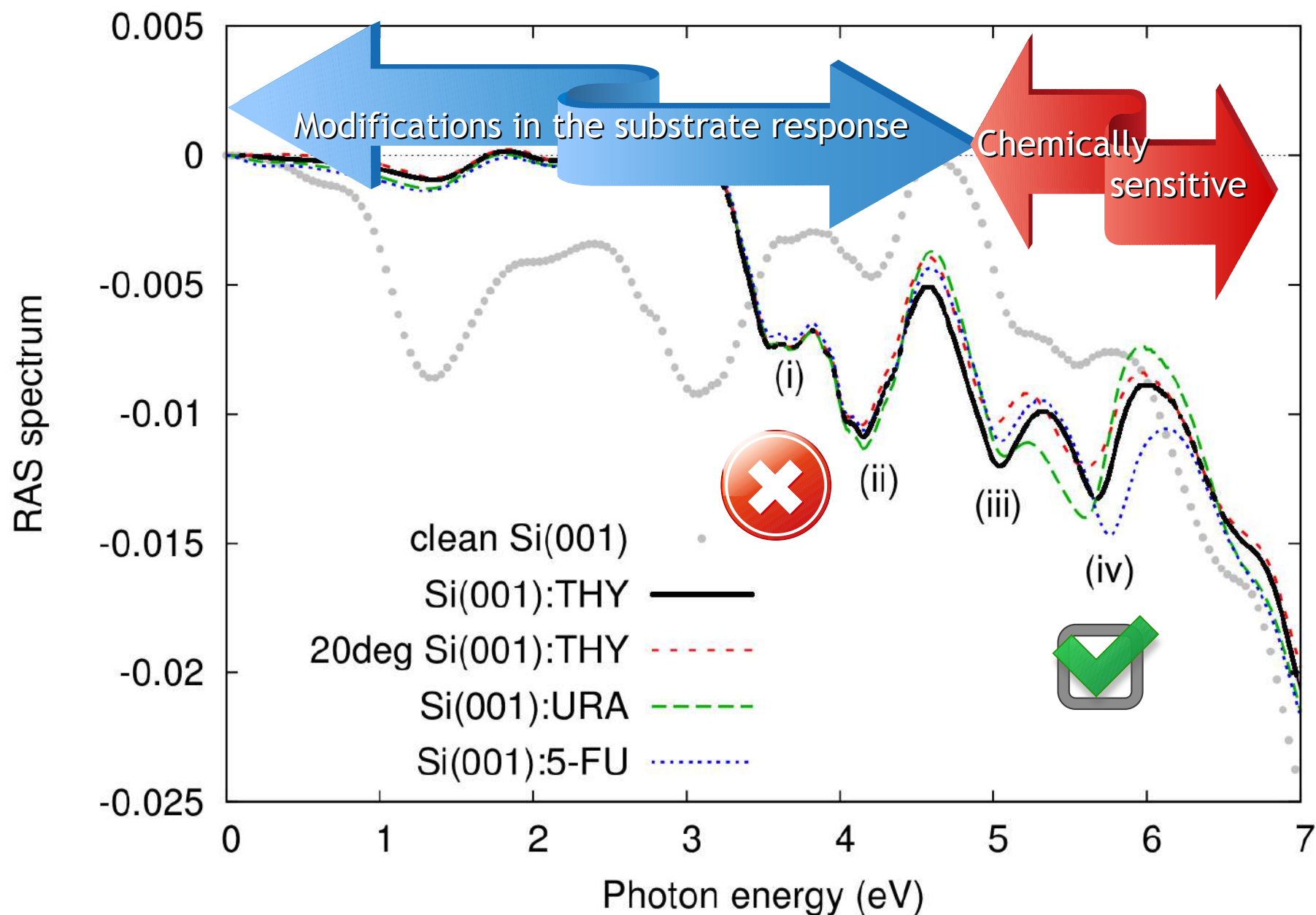


- Simpler absorption spectrum:

$$A_j(\omega) = \frac{\omega L}{c} \text{Im}[\varepsilon_{jj}(\omega)]$$

- Peak (i): purely substrate origin
 - Opposite sign than expected for HOMO → LUMO molecular transitions
 - Reproduced with ... H!





- Twofold role of interfaces:
 - Substrate \rightarrow adsorbates
e.g. Ag \rightarrow silicene
 - Substrate \leftarrow adsorbates
e.g. Si(001) \leftarrow molecules
- Ab initio as a valuable tool:
 - Interpretation and analysis
 - Prediction/suggestion

Theory @ UNIMI

Elena Molteni, Giovanni Onida

Exp. collaborators:

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Sincrotrone Elettra, Trieste

P.M. Sheverdyeva, S.K. Mahatha, P. Moras, L. Petaccia, C. Carbone

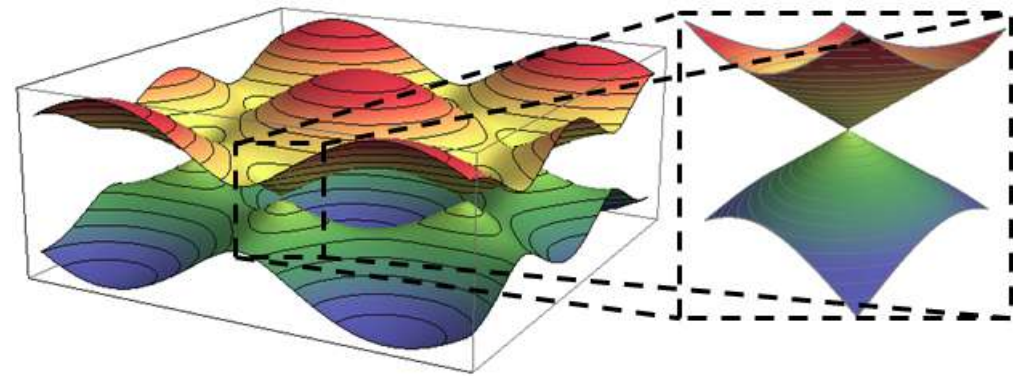
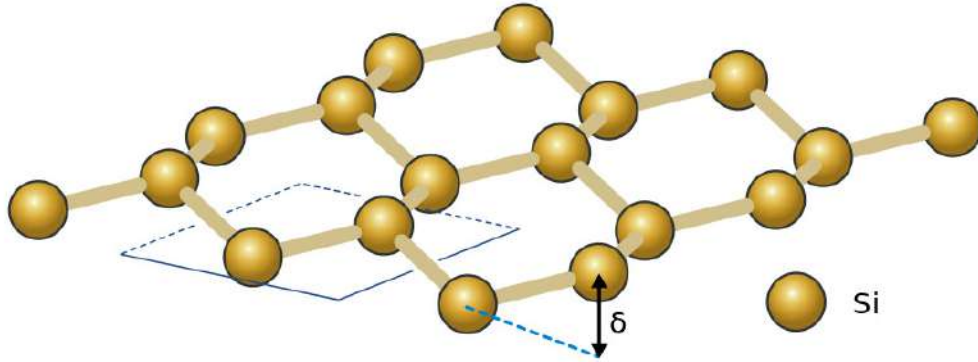
Thank you for your attention!

Extra slides

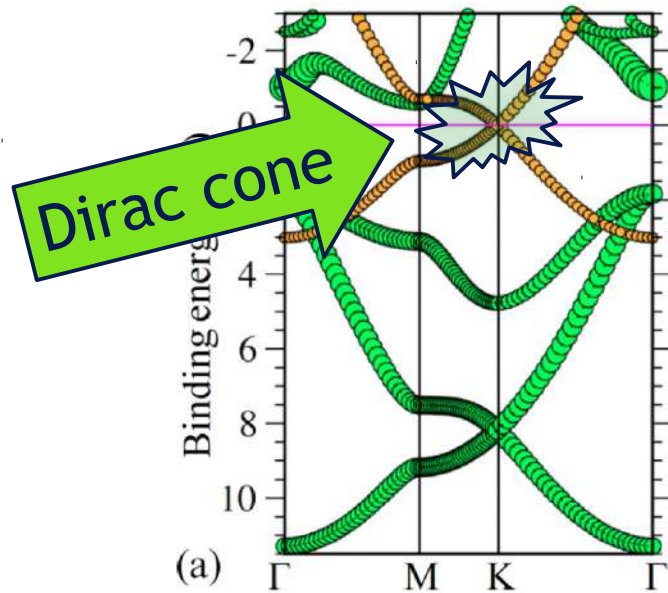


Potentials of silicene

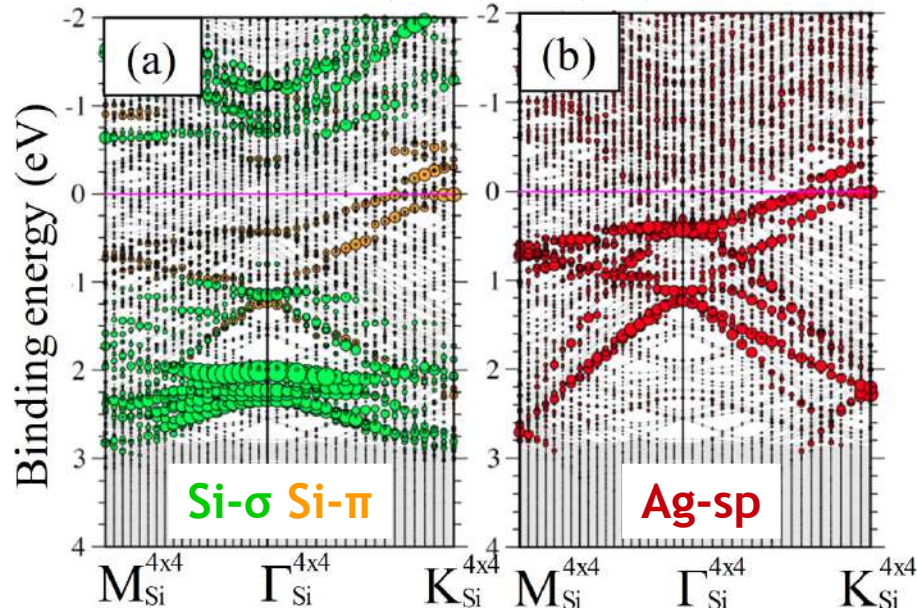
- Ease of integration
- Massless charge carriers
- On-demand properties



Silicene timeline

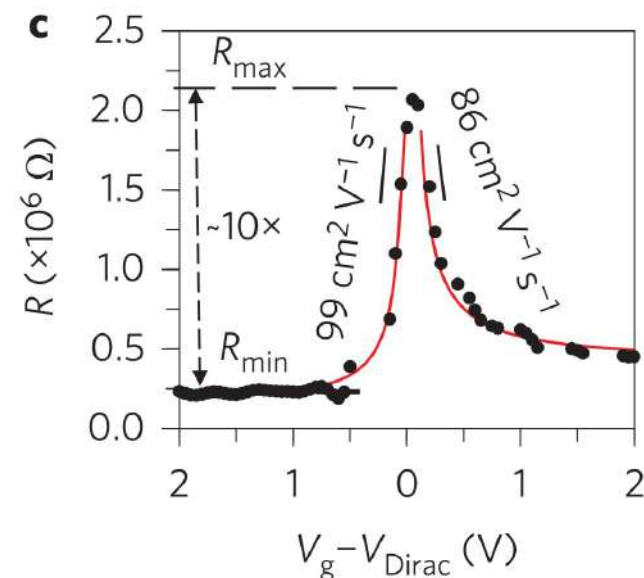


Mahatha et al., PRB, 2014, 89, 201416

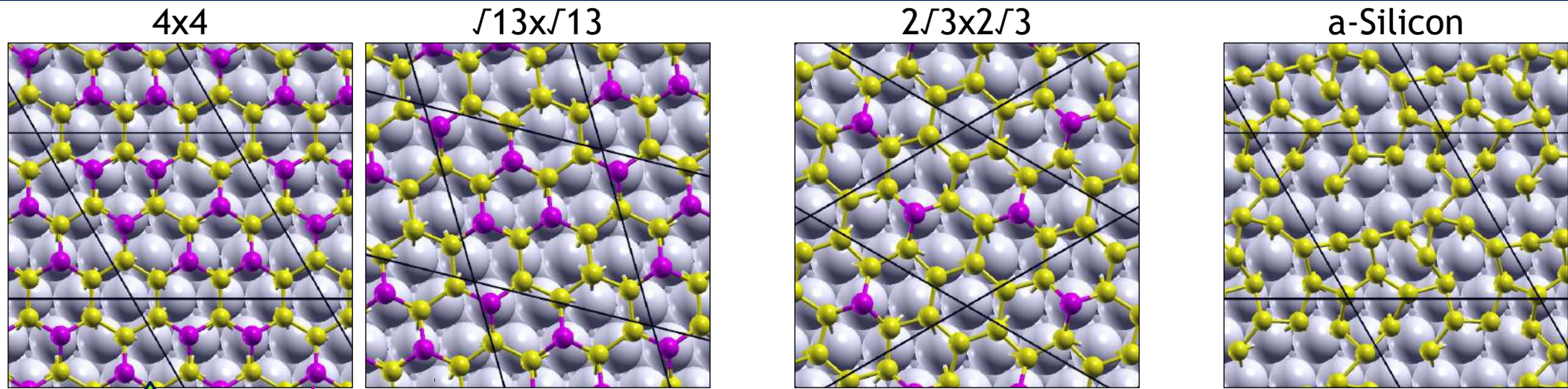


- 1994, 2009: stability (theory)
- 2010: synthesis on Ag
- 2012: Dirac bands on Ag(111)?
- 2013-2014: **NO! too strong Si-Ag interaction**
- 2015: FET from Si/Ag(111)

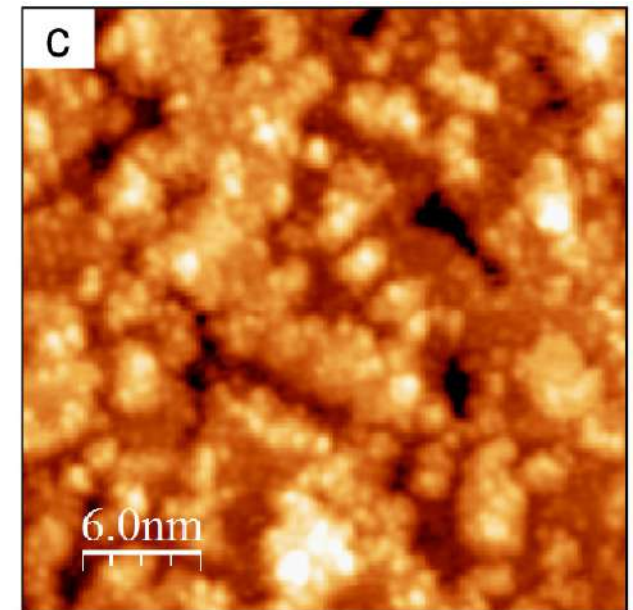
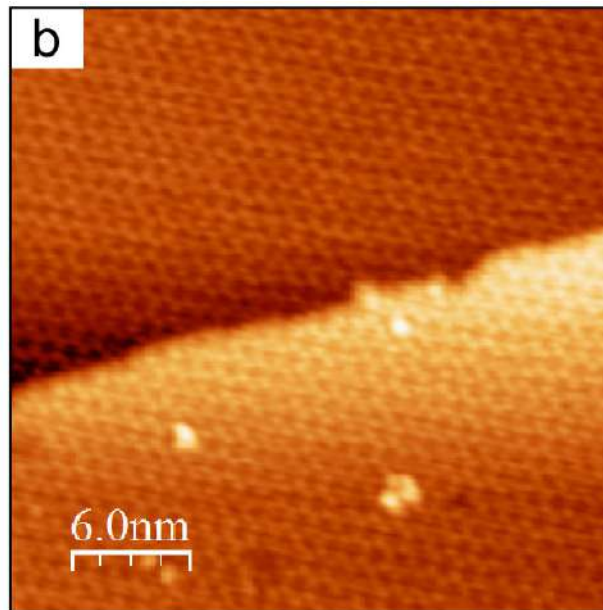
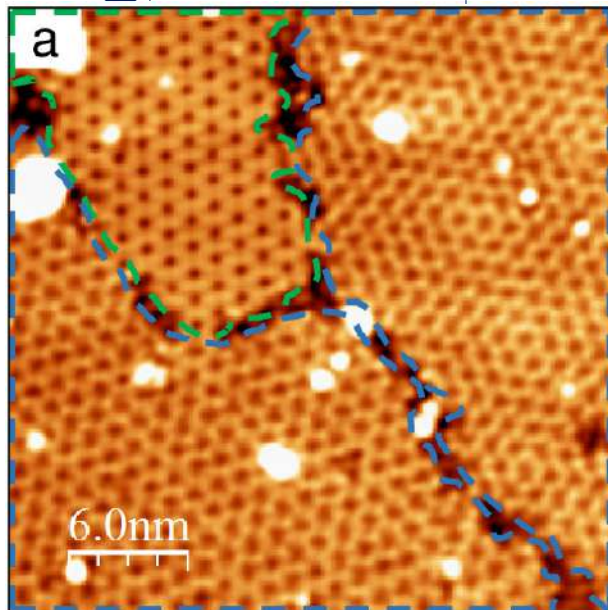
Tao et al. NatureNano, 2015, 10, 227



Different silicene polymorphs

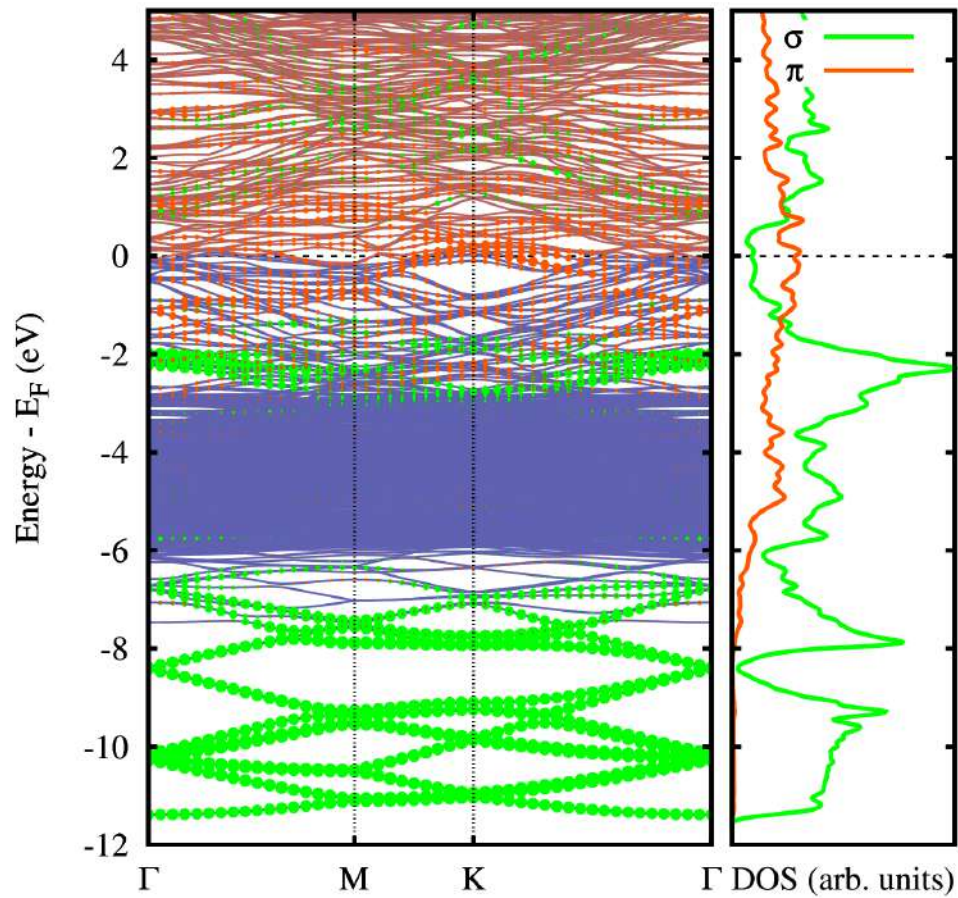


Buckled



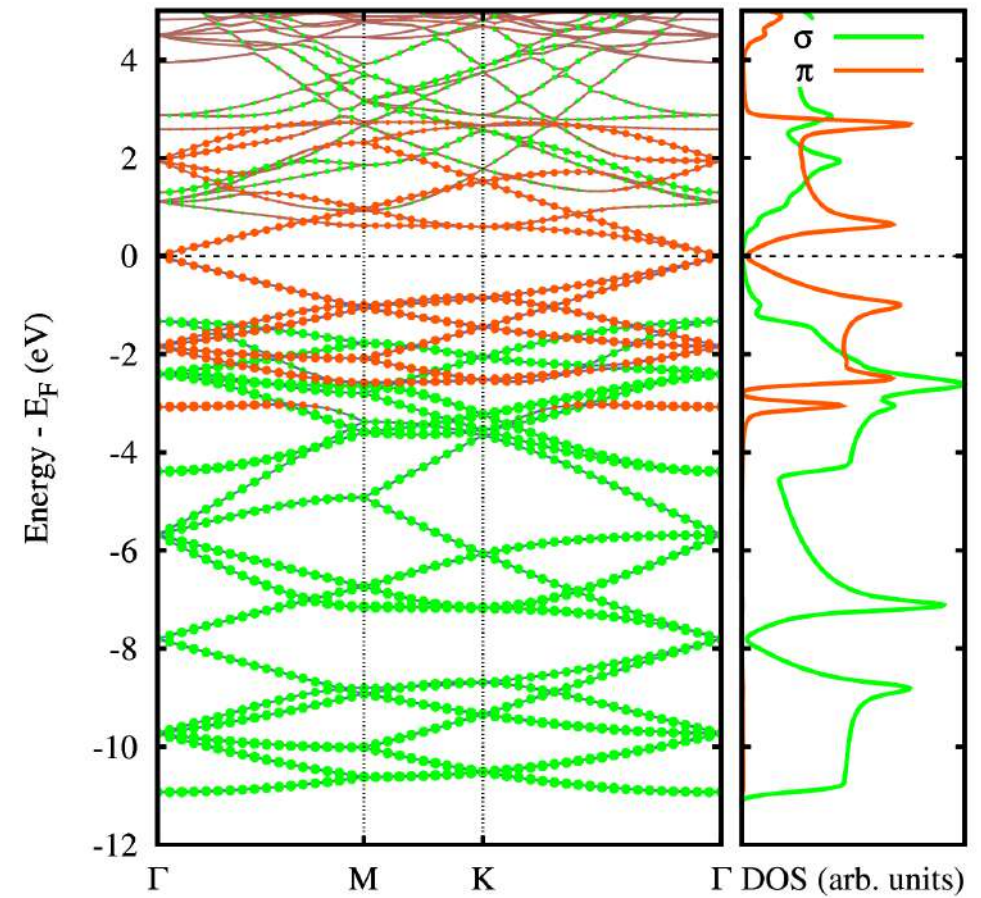
b

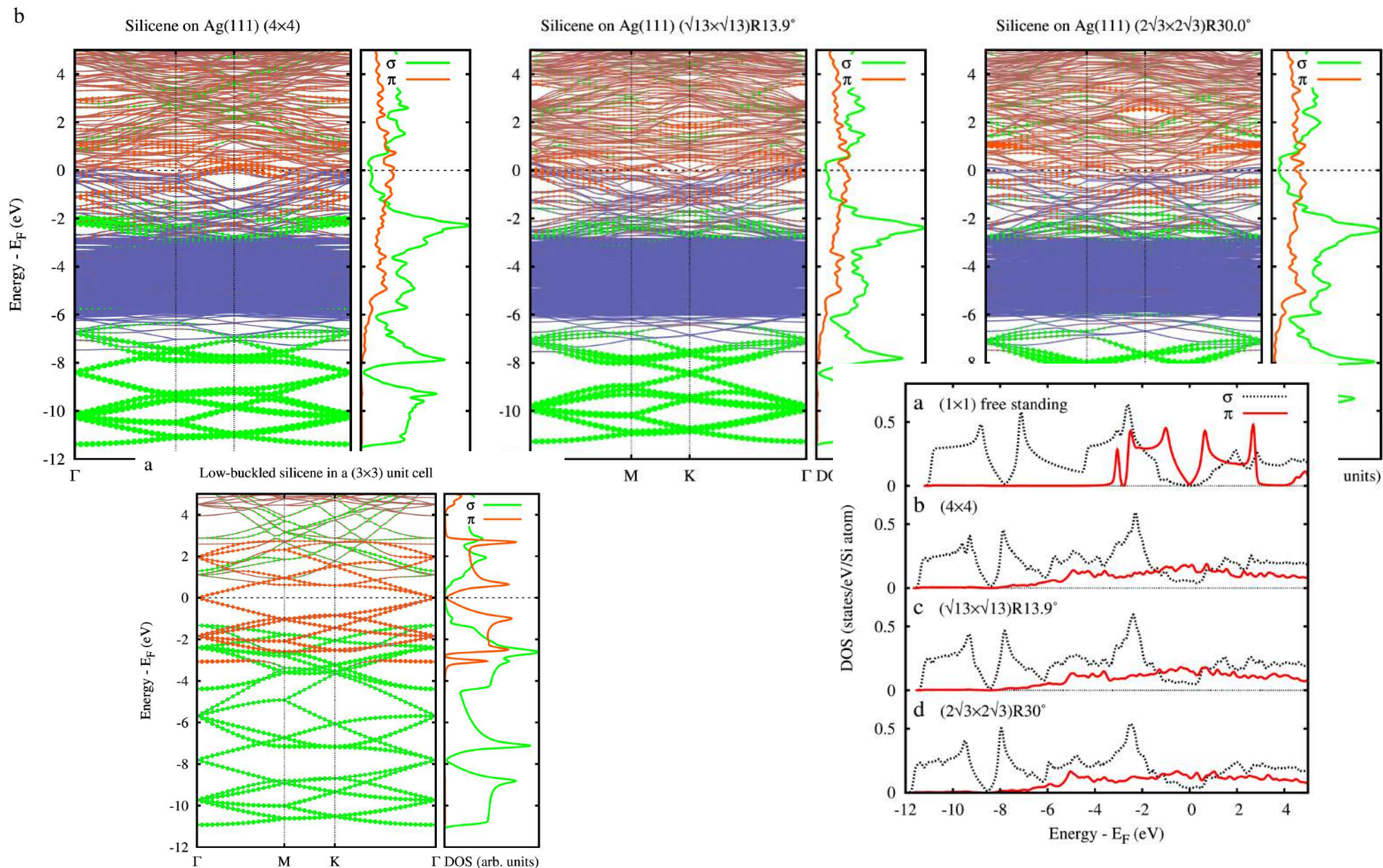
Silicene on Ag(111) (4×4)



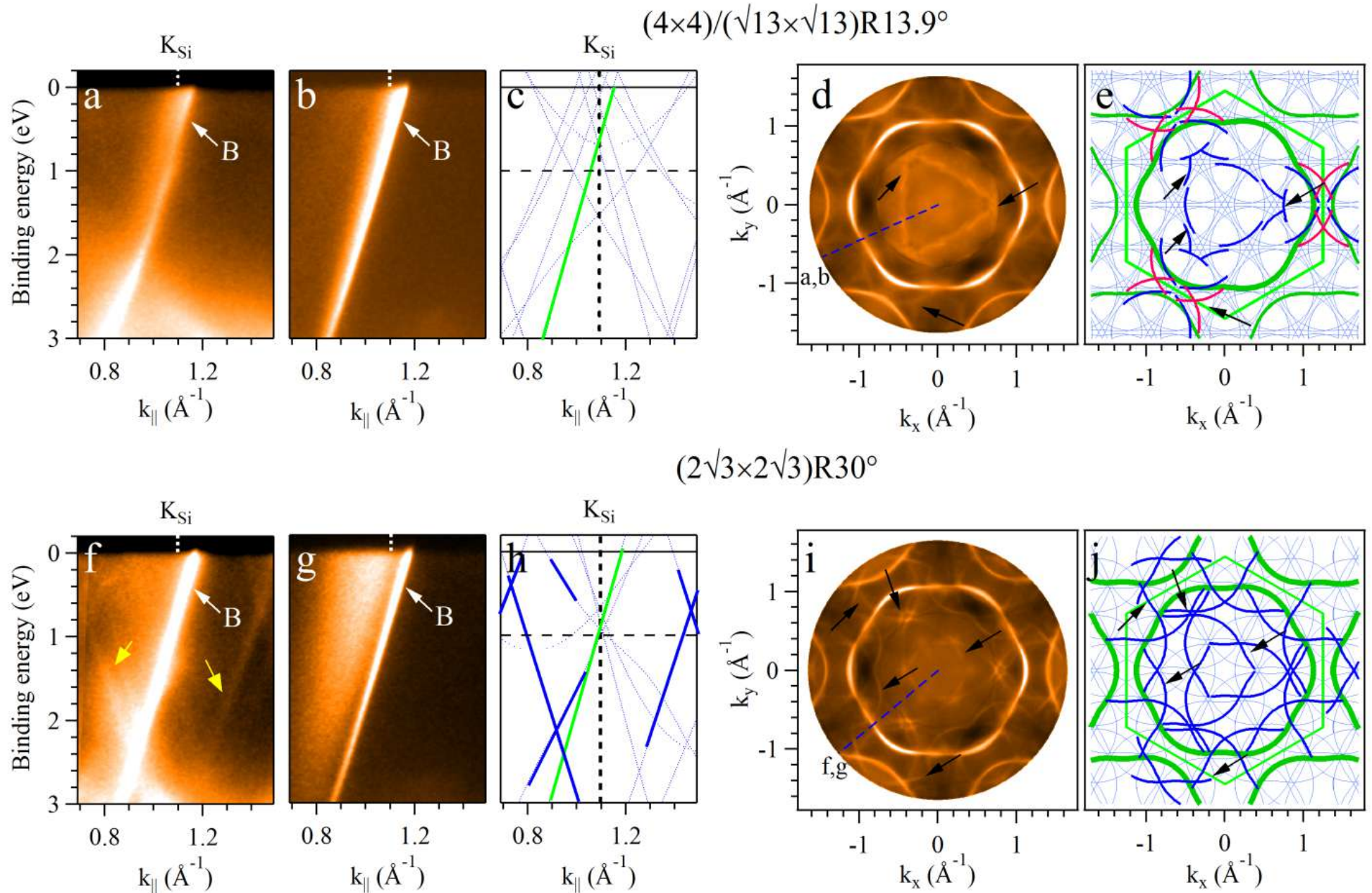
a

Low-buckled silicene in a (3×3) unit cell



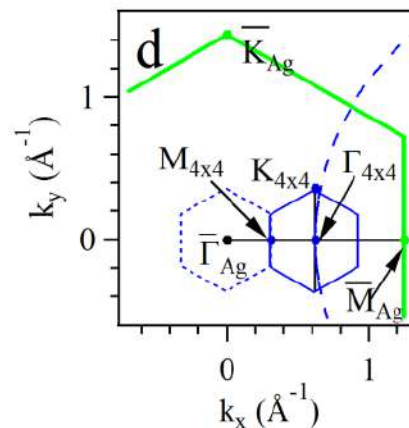
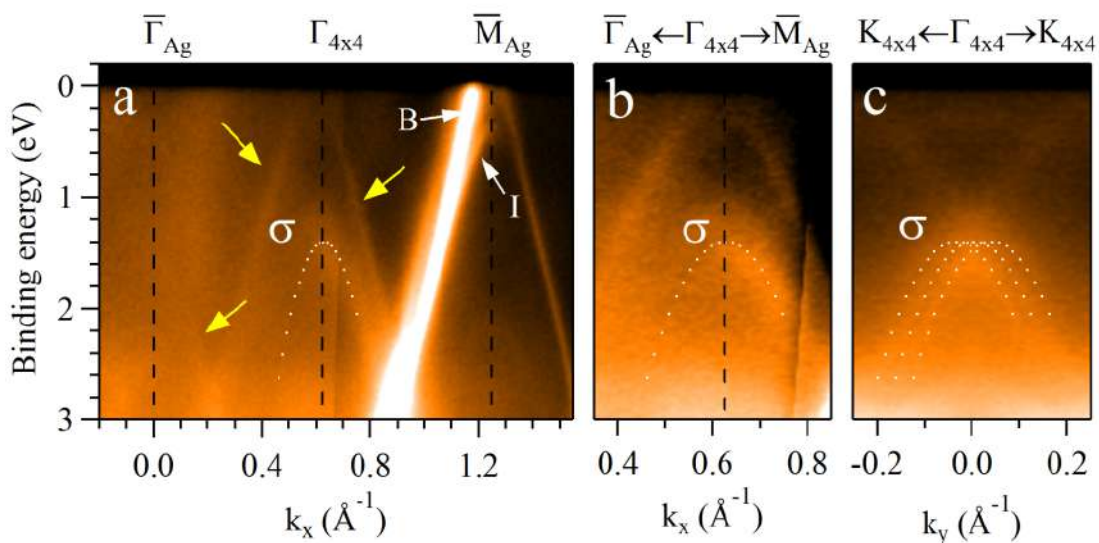


Angle-resolved photoemission (Dirac cones?)

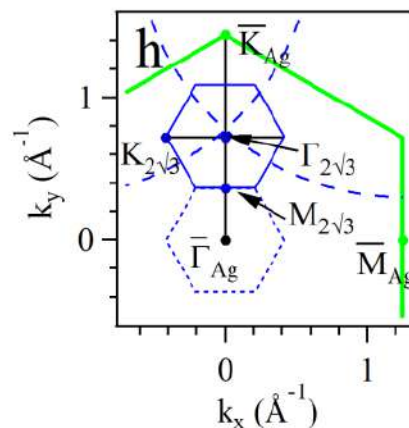
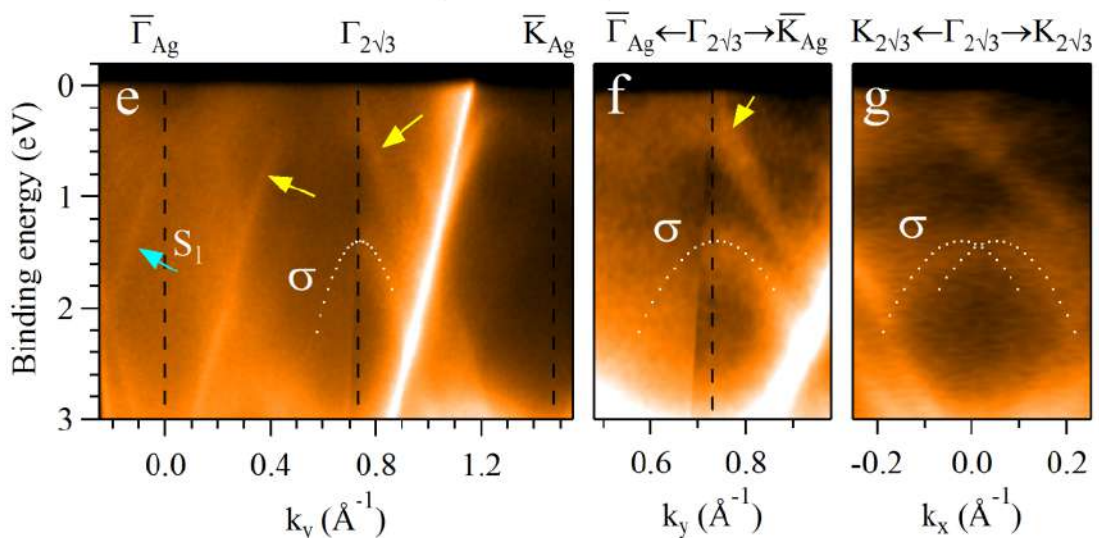


Angle-resolved photoemission (again?)

$(4 \times 4)/(\sqrt{13} \times \sqrt{13})R13.9^\circ$



$(2\sqrt{3} \times 2\sqrt{3})R30^\circ$



Density functional theory simulations

- Silicene/Ag(111) slabs
- 5 layer-thick
- Geometries well established
 - Cinquanta et al., JPCC (2013) 117, 16719
- *Kohn-Sham eigensystem (LDA)*



- *Independent particle optical spectrum (IP-RPA)*



- (4x4) silicene

- 12x12 K-point sampling
- 380 empty states ($>E_F+10\text{eV}$)

$$\text{Im}\epsilon_M(\omega) = \frac{16\pi}{\omega^2} \sum_{v,c,k} |\langle \psi_{vk} | \mathbf{v} | \psi_{ck} \rangle|^2 \delta(\epsilon_{ck} - \epsilon_{vk} - \omega)$$

$$A(\omega) = \text{Im}\epsilon_M(\omega) \omega L/c$$

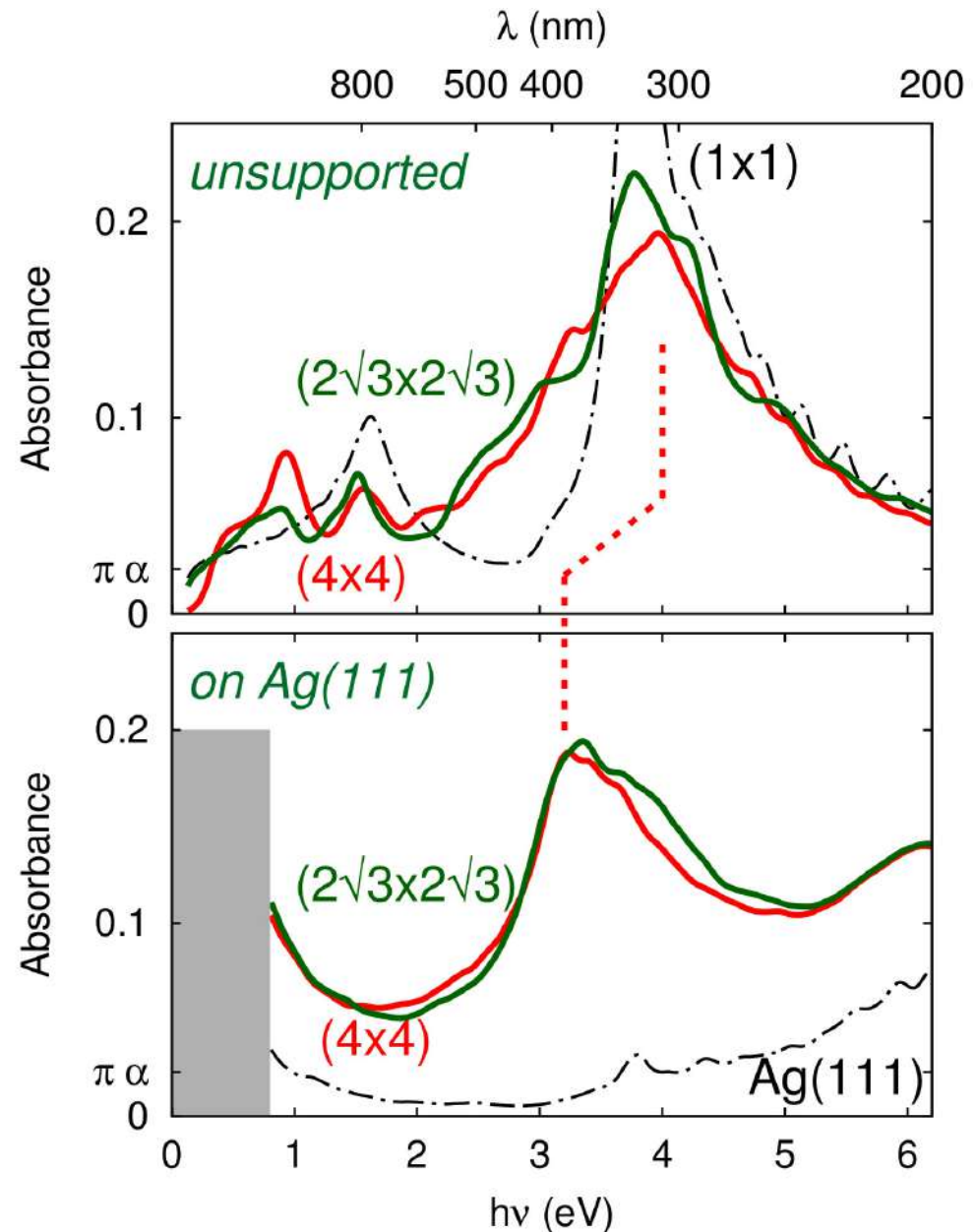
Optical absorbance

Effect of support (4x4)

- Distinguish features Silicene .vs. silver
- Non-additive
 - Redshift of the main peak
 - No more peak at $\sim 1.6\text{eV}$

Different phase (2√3x2√3)

- Similar observations!








Optical absorbance - contributions

- **Weights** according to spacial localization, e.g.: $W_{ik}^{\text{Si}} = \sum_{\phi \in \text{Si}} |\langle \phi | \psi_{ik} \rangle|^2$

- Weighted contributions to the dielectric function, e.g.:

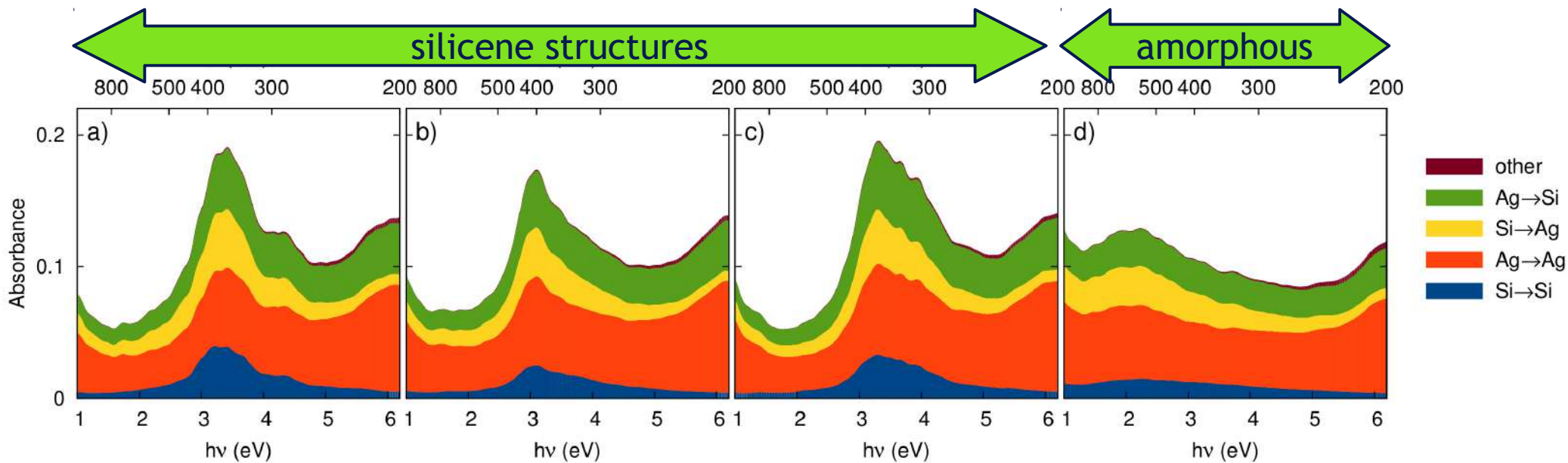
$$\text{Im}\epsilon_{\text{M}}^{\text{SiAg}}(\omega) = \frac{16\pi}{\omega^2} \sum_{v,c,k} W_{vk}^{\text{Si}} W_{ck}^{\text{Ag}} |\langle \psi_{vk} | \mathbf{v} | \psi_{ck} \rangle|^2 \delta(\epsilon_{ck} - \epsilon_{vk} - \omega)$$

- Multiple contributions:

	other
	Ag→Si
	Si→Ag
	Ag→Ag
	Si→Si

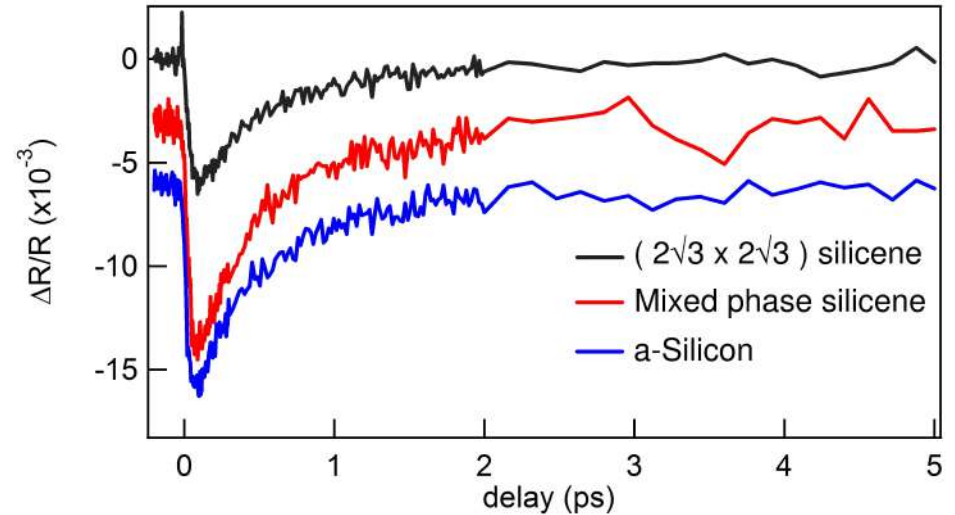
Optical absorbance - contributions

- 3-4eV peak:
 - Unequivocally attributed to silicene
 - Contribution of Ag states largely dominant
- *Signature of silicene electronic structure, but delocalized*
- Similar to amorphous silicon
- *Dimensionality effect*

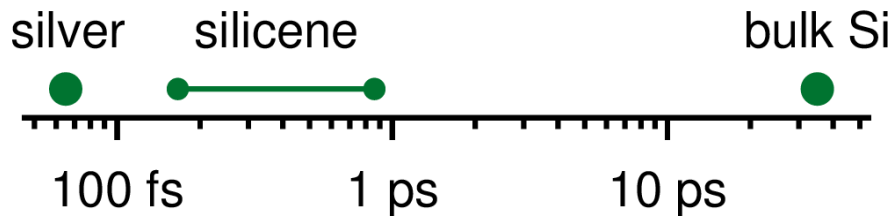


Charge carrier dynamics

- Transient reflectance
 - Excitation ($\lambda=500\text{nm}$ pulse)
... *time delay* ...
 - Reflectance ($\lambda=340\text{nm}$)
- Relaxation times ($\pm 20\text{fs}$)

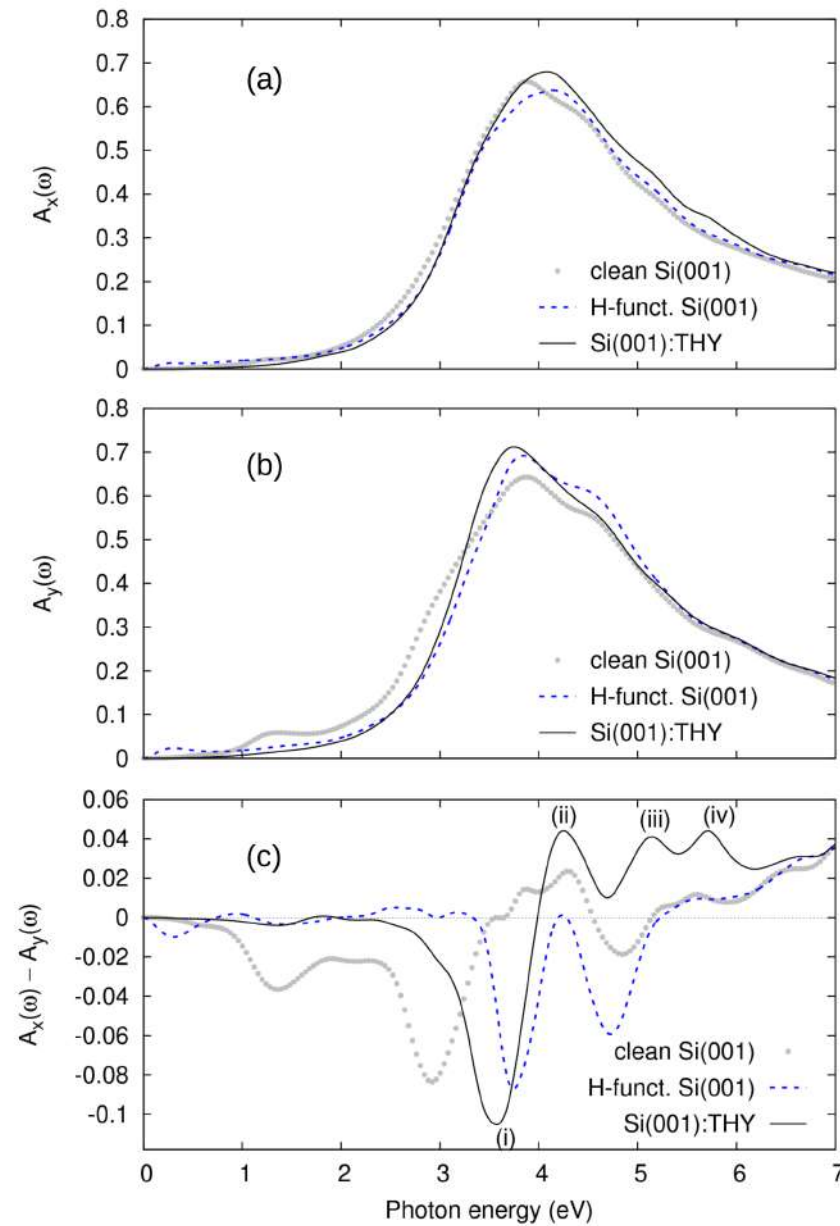


Relaxation times:

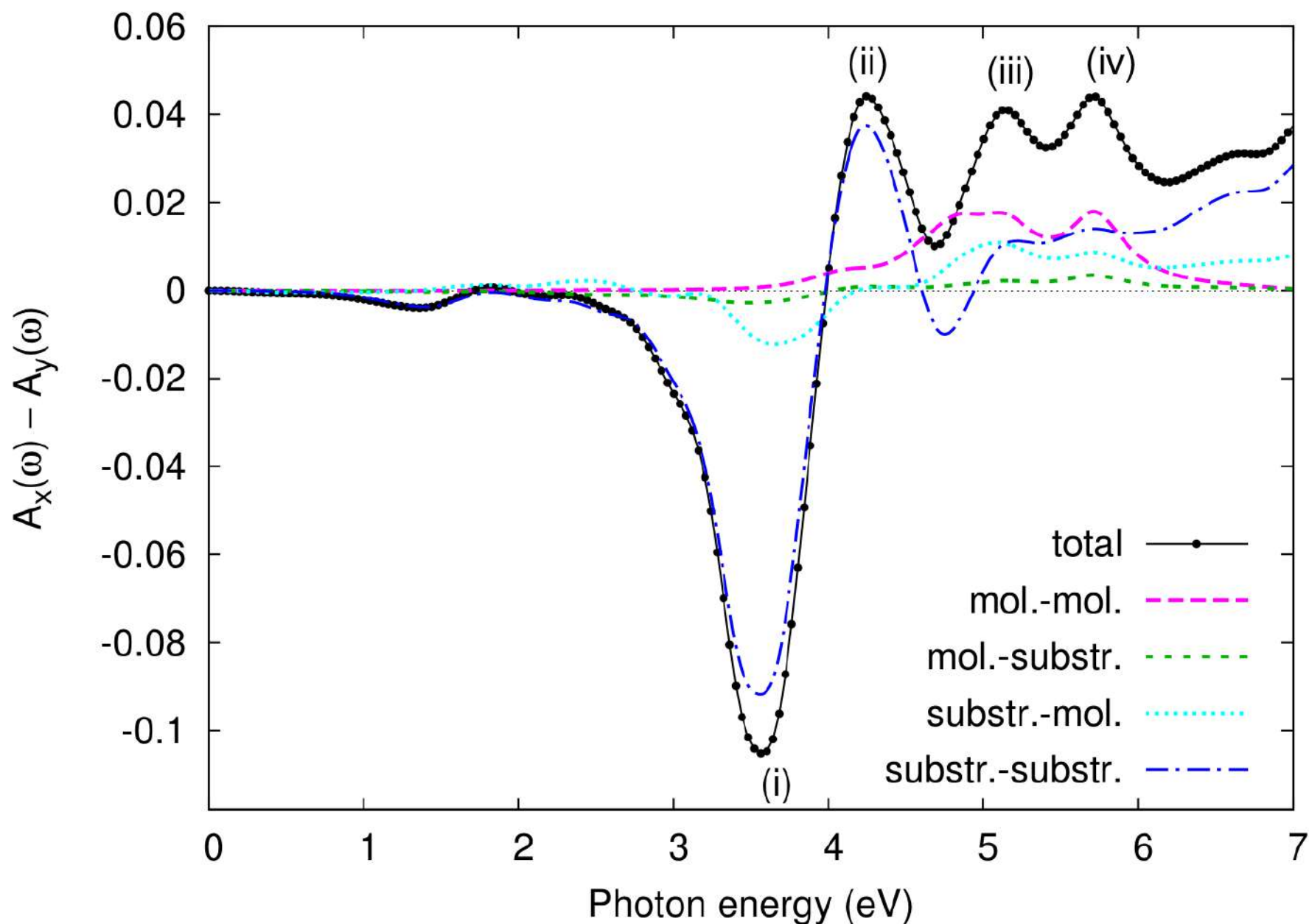


- Fast relaxation channels
 - Wavefunction delocalization
→ *metallic-like* channels
- Independent of specific structure
 - Dimensionality effect

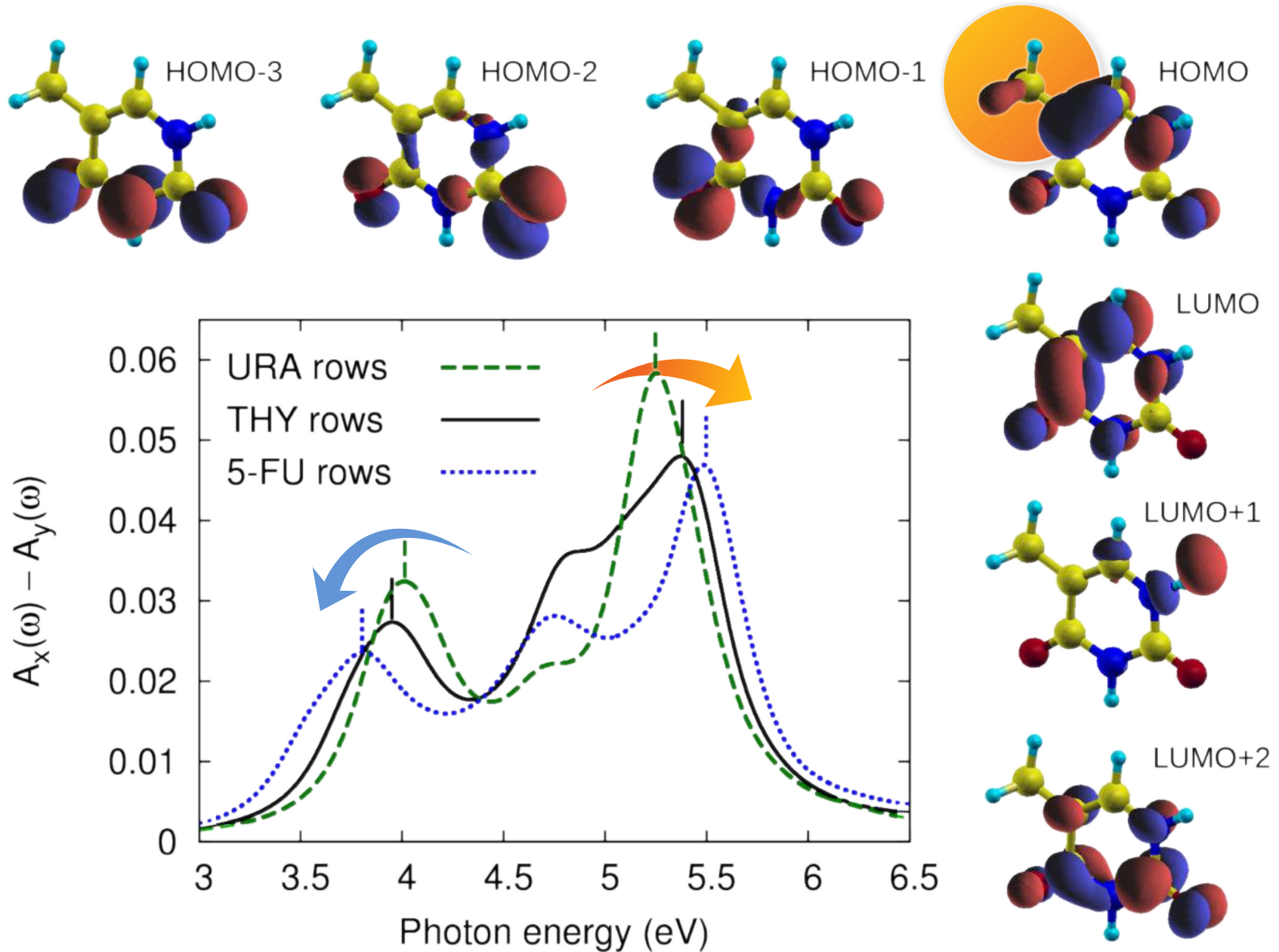
Optical absorbance spectra



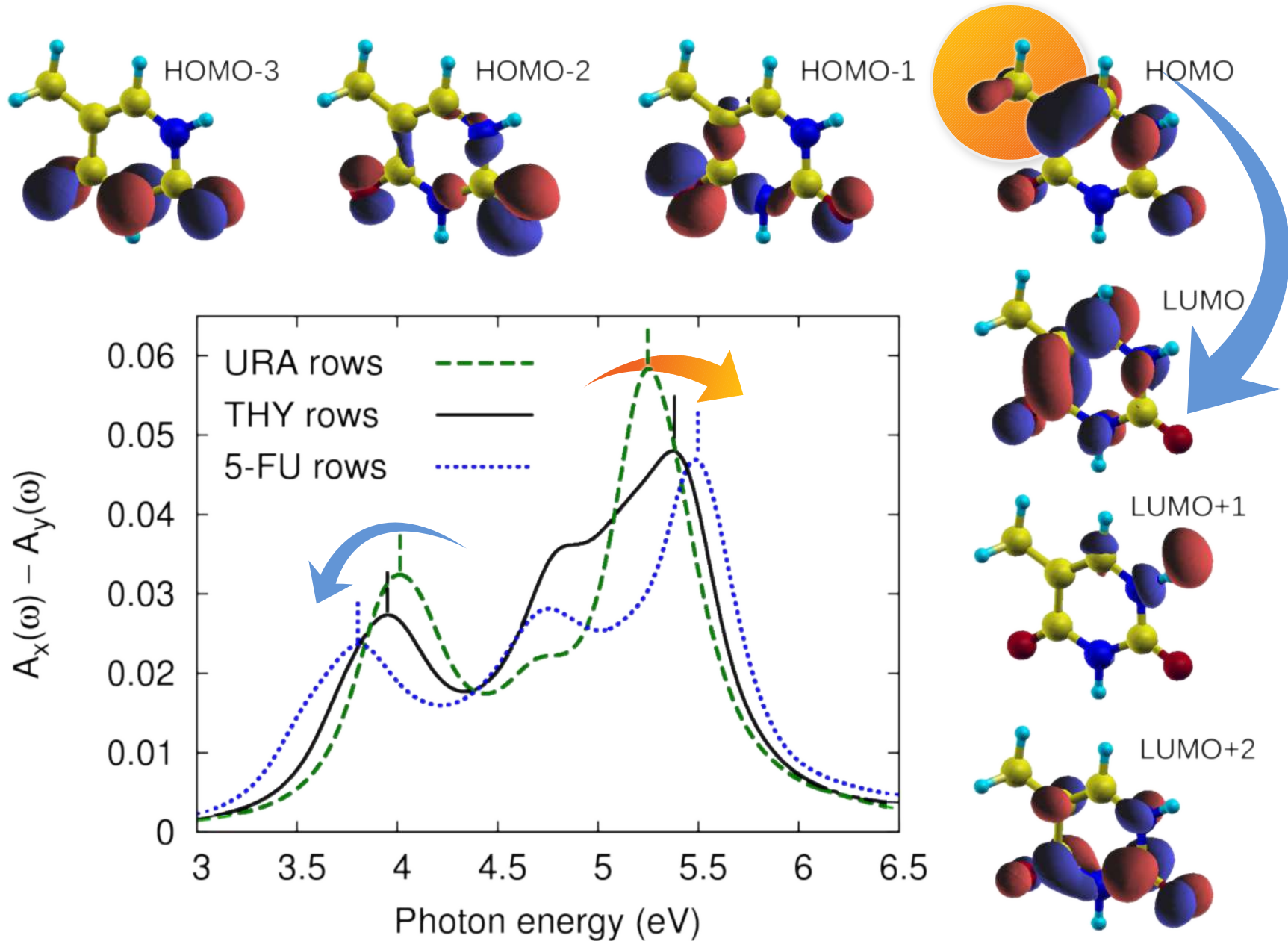
Molecule/substrate contributions to the RAS



Understanding chemical sensitivity



Understanding chemical sensitivity



Understanding chemical sensitivity

